

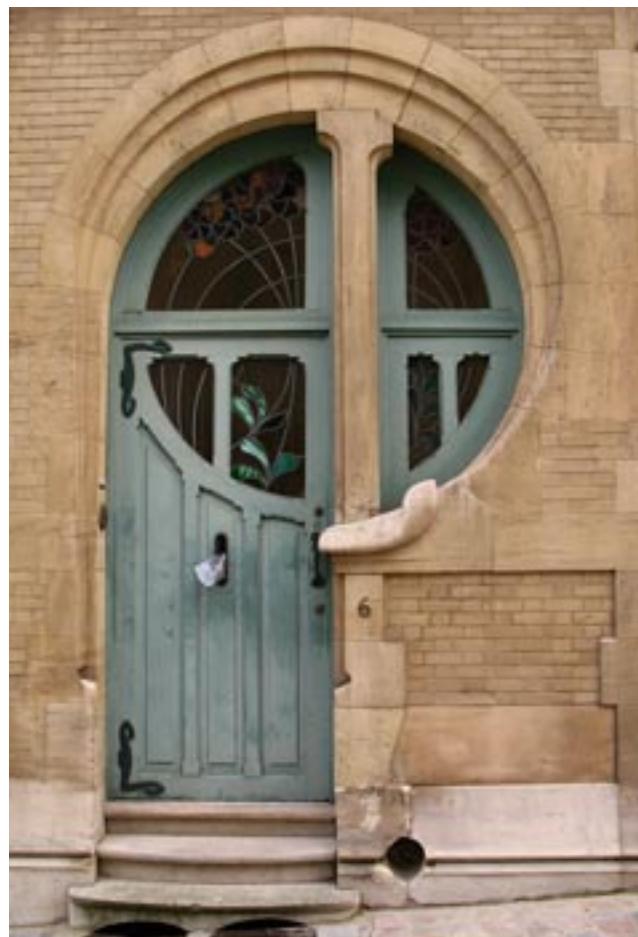
Humanities 128

The 20th Century from a Global Perspective

READER

Winter 2014

Professor Cynthia Rostankowski



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LITTLE DICTIONARY

Based on Charles Rycroft's useful
A Critical Dictionary of Psychoanalysis.

ABREACTION: process of releasing repressed emotion by reliving in imagination the original experience.

AFFECT: feeling or emotion attached to ideas, idea-clusters or objects.

AGGRESSION: in Freud's later writings a derivative of the Death Instinct, contrasted to libido, sex or the Life Instinct. Eros. Opinions differ whether aggression is a basic instinctual drive or whether it provides the ego with energy to overcome obstacles in the way of satisfying self-assertive drives.

CATHEXIS: accumulation or quantity of mental energy attaching to some idea, memory or object.

COMPLEX: cluster of ideas (and often memories of real or imaginary experiences) associated with powerful emotions which become buried by the processes of repression in the unconscious part of mind and exert a dynamic effect on behavior. Can sometimes emerge partly or wholly into the conscious mind, although it is the job of repression to prevent this.

Freud recognized only two: the Oedipus (or Oedipal) and Castration complexes.

Oedipus complex emerges during the phase of ego development between the ages of 3 and 5 and can later be responsible for much unconscious guilt. Persons fixated at the Oedipal level show it in many ways, for example, by choosing sexual partners who resemble their parent(s).

Castration complex: Oedipal rivalry with fathers causes castration anxiety in males. Girls and women cannot suffer this male anxiety. But they too can feel 'castrated,' wish to prove they possess an adequate (symbolic) substitute for the penis; or feel anxiety toward whatever organ, object or activity is a penis-equivalent for them. The origin of this complex is related by Freud to penis-envy. Much criticized by feminists.

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known as phobia, as in Little Hans' case.

The symptom of phobia is extreme neurotic anxiety experienced in certain situations (e.g., claustrophobia, anxiety in enclosed spaces) or when faced with certain objects (e.g., spiders, snakes or horses, as in Hans's case). A person with a phobic character has a habit of dealing with situations that are likely to cause anxiety or conflict either (a) by rigidly avoiding them, or (b) by seeking and taking pleasure in activities which are dangerous and normally arouse anxiety in others.

IMPULSE: in neurology refers to the *wave* of electrical charge passing along a nerve fiber. Freud also described movements 41 psychical energy in this way: instinctual impulses 'travel' from the id along channels to the ego where these are either (a) discharged in action, (b) inhibited, (c) directed by defense mechanisms or (d) sublimated.

INHIBITION: a process is inhibited if it is 'switched off' by the operation of some other process. So fear can inhibit sexual desire, etc. Inhibiting agencies are usually the ego or super-ego; the inhibited process is usually an instinctual impulse. Inhibition can be seen as a symptom.

INSTINCT: innate biological drive to action; has (a) a biological source and (b) a supply of energy; (c) its aim is satisfaction which; (d) it seeks in objects. Failure to find satisfaction or objects causes frustration and increases of instinctual tension experienced as pain. This pain (according to the pleasure principle) must seek relief and leads to the triggering of defense mechanisms to reduce tension. Anxiety is the ego's way of reacting to instinctual tension which stimulates its defenses. Freud claimed an instinct can undergo 4 changes: (a) repression, (b) sublimation, (c) turning against the self (using the self as an instinctual object), (d) reversal (into its opposite, e.g., replacing an active role by a passive one).

INTROJECTION: process by which relationship with an object (out there) is replaced by one with an imagined mental object (In here). Super-ego is formed by introjection of parental/authority figures. Introjection is both a defense (against anxiety caused by separation) and a

unorganized id ("everything present at birth") out of which a structured ego develops. Infancy progresses through the libido phases (oral, anal, phallic, Oedipal) in which the id's sources and forms of sexual pleasure change. Paralleling these phases, the ego develops functions enabling the individual to master impulses, operate independently of parental figures and control environment.

Part of the ego develops the self-critical activities of the super-ego which depend on the projection of parental figures. The severity of the super-ego partly derives from the violence of the subject's own unconscious feelings in early infancy. The energies of the super-ego may also derive from the id: the self-attacking tendency of the super-ego provides an outlet for the subject's aggressive impulses. Super-ego contains both the infantile past and a higher level of the ego's self-reflective functions.

FIXATION: failure to progress adequately through the stages of libidinal development can cause fixation: attachment to objects appropriate to those earlier Infantile stages. Fixated persons suffer frustrating wastes of energy because of their over-investment in past objects.

GUILT: specifically neurotic guilt, i.e., experiences of feeling guilty which cannot be explained by real violations of the patient's conscious values. Result of conflicts between super-ego and infantile sexual and aggressive wishes. Expressions of aggressive feeling taken out on oneself through the super-ego's 'moral' condemnation. Defenses to reduce anxiety can also reduce guilt.

HYSTERIA: illness once considered as either (a) physical in origin, or (b) in which physical evidence of illness was absent. Since Charcot, and especially psychoanalysis, seen as neurotic forms of behavior in which the physical symptoms (e.g., convulsions, paralysis, disturbances of sight, hearing, etc.) derive from psychological malfunctions. Hysteria was diagnosed as a purely female or 'uterine' disease. Freud rejected this but kept the idea that it was somehow connected to sexuality. The 2 recognized forms of hysteria are:

(a) conversion-hysteria, a form of psychoneurosis in which symptoms appear as physical complaints, as in Anna O's case; and (b) anxiety-hysteria, now

DEATH INSTINCT or THANATOS: different than aggressive wish to kill others; rather, the individual's own innate self-destructive drive. Freud distinguished 2 kinds of instincts. Sexual ones (Eros) which perpetually attempt and achieve the renewal of life. Another which seeks to lead what is living to death. Not supported by my known biological principle.

DEFENSE: negatively. Its function is to protect the ego which may be threatened by anxieties from (a) the id, super-ego or outside world, (b) bad conscience or super-ego threats, (c) real dangers. Positively, defense mechanisms are used by the ego to channel or control the forces which may lead to neurosis. Defense acts as a compromise between wish and reality. The ego modifies the id's urges for immediate gratification and allows disguised satisfaction. The point of any defensive compromise is to keep the conflicts it resolves out of conscious awareness.

DISPLACEMENT: shifting of affect from one mental image to another to which it does not really belong, as in dreams.

DREAM WORK: (a) the function of dreams is to preserve sleep by representing wishes as fulfilled which would otherwise wake the dreamer. (b) The manifest content of dreams starts from various sensory experiences received during sleep, plus worries of the previous day and recent past life. (c) Latent repressed wishes from the unconscious attach themselves to this content. To evade censorship and prevent the sleeper from waking, these latent wishes modify or disguise their content. This modification taking place in the unconscious is the dream work.

EGO ID, SUPER-EGO: structural concepts; 'places' (topography) within the psychic apparatus; but not actually 'located' in the brain. Psyche (mental apparatus) begins as

normal development (helps the subject to become autonomous).

LIBIDO: sexual desire; vital impulse or energy. Hypothetical form of mental energy flowing into psychic processes, structures and objects. The proposed source of libido is the body or id; exists as related to specific erotogenic zones or libidinized psychic structures.

Freud first thought of libido as energy attached to specific sexual instincts. Later, narcissistic libido was seen as invested in the ego, i.e., libido originally attached to parent objects, because of frustration, becomes attached to the ego. Self-love, self-awareness increases as attachment to parents decreases. Ego, by this, becomes its own object.

NEUROSIS: originally a disease of the nerves; later describing diseases due to functional disturbances of the nervous system unaccompanied by structural changes. Freud's discovery is that neurosis is a disorder of the personality, not a disease of the nervous system; a conflict phenomenon involving the thwarting of some fundamental instinctual urge.

There are several types of neuroses: due to past causes; present sexual habits; shock; symptoms as character traits; psychosomatic. Example: obsessional neurosis. Obsessions are ideas or groups of ideas persistently intruding upon the patient's consciousness involuntarily despite the recognition of their abnormality. Major symptoms are obsessional thoughts and compulsive ritual behavior. Such thoughts differ from normal ones because the patient experiences them as bizarre, obscene, unsophisticated, repetitive; and behavior is also repetitive, stereotyped, bound. Obsessional neurosis centers around regression to the anal-sadistic stage and ambivalence toward introjected parents.

PSYCHE: originally the 'soul'; psychologically, the mind, mental apparatus. Usually contrasted with soma, the body, or general physical factors.

PSYCHIATRY: branch of medicine treating mental illnesses. Unlike psychoanalysis (the theory and therapeutic treatment of neuroses) psychiatry (a) treats illnesses of known physical origin, e.g., senility, mental deficiency, etc.; (b) employs different techniques such as electro-shock

therapy and drugs; and (c) tends to regard mental illness as due to physical factors, known or unknown.

Psychology is defined as 'science of mind' or today 'science of behavior' and has many specialized branches: experimental, social, animal, industrial, etc. Psychoanalysis may be regarded as one such branch.

PSYCHOSIS: used by both psychiatry and psychoanalysis to describe mental illnesses which may lead to total loss of reality and control over behavior; contrasted to neurosis in which the patient's sanity is never in doubt. Psychiatry distinguishes between organic psychosis due to demonstrable organic disease and functional psychoses without apparent organic origin. The 3 functional psychoses recognized by both branches are schizophrenia, manic-depressive psychosis and paranoia. Psychoanalysis considers psychosis as a narcissistic disorder inaccessible to treatment because transference cannot be formed.

REGRESSION: as a result of fixation, reversion to expressive channels of libidinal and ego development belonging to infantile stages. Also a defensive process seeking to avoid anxiety by a return to earlier patterns of behavior: not a viable defense since regression compels the individual to reexperience anxiety appropriate to the regressed stage.

Free association can be seen as a 'controlled' therapeutic form of regression useful in the working out of neurosis.

REPRESSION: defense mechanism by which unacceptable impulse or idea is rendered unconscious. Mental process arising from conflicts between the Pleasure and Reality Principles. Impulses, memories and painful emotions arising from such conflicts, and thrust into the unconscious, still remain active, indirectly influencing experience and behavior, determining (normal) dreams. Ego development depends on repression.

RESISTANCE: opposition to the analyst's Interpretation during the psychoanalytical process of making unconscious patterns conscious.

SEXUALITY: Freud upset traditional ideas of sex by asserting that (a) adult

sexual behavior has infantile origins (oral, anal erotism and component instincts) which contribute to the development of the adult sexual instinct and personality as a whole; that (b) infantile and adult sexual drives influence non-sexual behavior as filtered through symbolization and sublimation.

SUBLIMATION: psychic development by which instinctual energies are discharged in non-instinctual forms of behavior.

Displacement of such energy to ones of less instinctual interest; desexualized or deaggressified emotion; liberation of activity from demands of instinctual tension. Can perhaps best be understood negatively: e.g., a patient who before his neurotic breakdown had an inquiring ('devouring') mind now turned to over-eating (oral regression); or one with previous intellectual curiosity turned to voyeurism. These examples suggest that the instincts available for sublimation are the pregenital component instincts. Sublimation depends on unconscious symbolism.

SYMBOLIZATION: "only what is repressed is symbolized; only what is repressed needs to be symbolized," Ernest Jones (1916); and ego development depends on sublimation.

TRANSFERENCE: displacement on to the analyst of feelings, ideas, which derive from the introjected figures or objects acquired in the patient's past life. The analyst's detachment (refusal to 'play along' or respond to the patient's expectations) creates a novel or 'second neurosis' which it is possible to interpret as the patient behaving as though the analyst were a father, mother, brother, etc. This is the crucial transference-neurosis in which the conflict is worked through, unconscious patterns becoming conscious to the patient.

UNCONSCIOUS: can there be mental processes of which the subject is unaware? Are unconscious mental processes, by definition, self-contradictory? These are crucial questions/criticisms of psychoanalysis. Freud responds by assuming 2 kinds of unconscious processes: those which can become conscious easily; others subject

to repression. Some things can be easily recalled; others, such as some fantasies, wishes, painful memories, exist but can only become conscious after the removal of specific resistances.

On the evidence of the latter Freud base: his hypothesis of a dynamic unconscious

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Frau & W.E.B. Du Bois
1868-1963

Frau &
W.E.B. Du Bois

At Atlanta University, Du Bois began putting his ideas into political action. He was the secretary of the first Pan-African Conference in 1900 and helped organize the First Universal Races Congress in 1911 (both in London). In 1905, he was a founder of the Niagara Movement, which led in 1910 to the founding of the National Association for the Advancement of Colored People (NAACP). During this period, Du Bois made a number of sociological studies of blacks in the South. He also published his most famous book, *The Souls of Black Folks: Essays and Sketches* (1903).

With the founding of the NAACP in 1910, Du Bois left teaching to become editor of the organization's monthly organ, *The Crisis*. Du Bois's move from social scientist to political activist was now complete. For the next twenty-four years, Du Bois wrote, edited, organized, and labored tirelessly for racial equality.

In 1926, Du Bois accepted an invitation to the Soviet Union and returned full of praise for the new "Socialist Republic." He was now convinced that African Americans could find liberation in socialism. As his views moved further and further left, his relations with the NAACP were strained. Du Bois now regarded the NAACP's ideal of integration as not only unattainable but as even undesirable. When he publicly supported "nondiscriminatory segregation," he was forced to resign his position with the NAACP. He returned to teaching at Atlanta University, where he remained until retiring in 1943.

* Quoted in Julius Lester, ed., *The Seventh Son: The Thought and Writings of W.E.B. Du Bois*, two volumes (New York: Random House, 1971), vol. 1, p. 42.
 **ibid., p. 44.

Following graduation from Fisk, Du Bois received a Harvard scholarship for a second bachelor's degree in philosophy. Studying with William James and George Santayana, Du Bois developed a Hegelian philosophy that made sense of the black experience. Du Bois went on to receive a M.A. at Harvard in 1892.

Following travel in Europe, Du Bois taught Greek and Latin at Wilberforce University, a black institution in Xenia, Ohio. At the same time, he completed his doctorate in sociology, becoming the first African American to receive a Ph.D. from Harvard. His dissertation, *The Suppression of the African Slave Trade to the United States of America, 1638-1870* (1896), was published as the first in the Harvard Historical Studies Series.

In 1896, Du Bois married Nina Gomer, and together they had two children. That same year, Du Bois accepted a position at the University of Pennsylvania. He was commissioned to produce the first systematic study of blacks, *The Philadelphia Negro*, which was published in 1899. Interviewing more than five thousand people for this study, Du Bois came to the conclusion that hard work, persistence, and patience in seeking reforms were the keys to improving the lot of African Americans in Philadelphia.

Following the study's completion, Du Bois was called to Atlanta University, where he taught for the next thirteen years. In Atlanta, Du Bois's social and political philosophies changed radically. The political climate in the late 1800s grew increasingly antagonistic toward blacks as the remnants of Reconstruction disappeared and *Plessy v. Ferguson* (1896) legalized "separate but equal" segregation. Du Bois himself suffered numerous indignities as he traveled in the South. He was especially repelled by the lynching of a black farm laborer in 1899 and by the anti-black Atlanta riots of 1906.

During this period, Du Bois challenged Booker T. Washington, president of Tuskegee Institute and African American leader. Washington advocated that his people accept a posture of submissiveness and modest aspiration, claiming, "It is at the bottom of life we must begin and not at the top."^{**} In his earlier days, Du Bois might have agreed, but he was no longer willing to wait patiently at the bottom. Instead, Du Bois argued that blacks must assert themselves, particularly the "Talented Tenth" in the African American community, who would "be leaders of thought and missionaries of culture among their people."^{***}

Du Bois spent a busy "retirement" in political activism. For four years, he returned to the NAACP as director of the department of special research. Among his many other activities in retirement, he was a consultant to the founding of the United Nations, co-chair of the Fifth Pan-African Congress, vice-chair of the Council of African Affairs, and chair of the Peace Information Center. At the age of 87, he even ran for senator of New York on the Progressive Party ticket. In the late 1940s and early 1950s, Du Bois became even more enthusiastic about the USSR and People's Republic of China. In 1951, as the Cold War warmed up, Du Bois was indicted as an "unregistered agent" of the Soviet Union. Though acquitted of all charges, Du Bois was embittered with life in the United States, and for the rest of the 1950s he traveled extensively in Eastern Europe and China. In 1959, Du Bois received the Lenin Peace Prize, and in 1961 he officially joined the Communist Party of the United States. Du Bois left the United States for good in 1961, becoming a citizen of the African state of Ghana. There he died at the age of 95. Following a state funeral led by Ghana's president Kwame Nkrumah, Du Bois was buried in Accra, Ghana.

To understand Du Bois's philosophy, one must begin with the Hegelianism that runs through his work. Hegel had argued that the "the study of world history ... represents the rationally necessary course of the World Spirit."^{**} All human history is a dialectical process whereby the World Spirit becomes conscious of itself as free. Whenever a thesis of freedom is asserted, it is opposed by an antithesis. These are then both overcome by a synthesis that incorporates the best of both. In particular, Hegel held that the World Spirit that is coming to a consciousness of freedom is always the spirit of specific world-historical peoples, not individuals. Hegel traced the development of this World Spirit through six historical peoples: Chinese, Indians, Egyptians, Greeks, Romans, and Germans.

Du Bois accepted Hegelian history and applied it to the experience of African Americans. In our reading from *The Souls of Black Folks*, Du Bois refers to Hegel's six historical peoples and adds,

The Negro is a sort of seventh son, born with a veil, and gifted with second-sight in this American world,—a world which yields him no true self-consciousness, but only lets him see himself through the revelation of the other world.

According to Du Bois, "Black folk's" consciousness of freedom is newer and richer than that of any previous world-historical people because of slavery. As one writer explains,

Out of slavery and out of the later striving of black folk for whiteness in an oppressive white world came a rising sense of black soul. Thus it was that white thesis 'bred black antithesis, which took the best of white culture and moved it upward toward a new synthesis.'**

*Hegel, *Reason in History: A General Introduction to the Philosophy of History*, see Forrest E. Baird and Walter Kaufmann, *Nineteenth-Century Philosophy* (New York: Prentice Hall, 2000), p. 54.

**Joel Williamson, *The Crucible of Race* (New York: Oxford University Press, 1984), p. 405.

While using Hegelian notions, Du Bois noticed something unique about the self-consciousness of black folk. As a "problem," as an "other" black folk develop a kind of "double-consciousness." Black folk have the "sense of always looking at one's self through the eyes of others." This "twoness," this consciousness of "two souls, two thoughts, two unreconciled strivings; two warring ideals in one dark body," means that black folk must uniquely struggle to find true self-consciousness and self-identity. Although in some ways Du Bois is more activist than philosopher, his thought has been enormously influential. His identification of a 'black soul' provided a theoretical base for African American studies. His identification of a unique black culture gave blacks both dignity and an alternative to the assimilationist tendencies of integrationists. His discovery of double-consciousness and his notion of the "other" anticipated some recent debates in Continental philosophy.

* * *

A good place to begin studying Du Bois is Julius Lester, ed., *The Seventh Son: The Thought and Writings of W.E.B. Du Bois*, two volumes (New York: Random House, 1971). For biographies of Du Bois, see Jack B. Moore, *W.E.B. Du Bois* (Boston: Twayne, 1981); and Du Bois's own autobiography, *Dusk of Dawn* (New York: Harcourt Brace, 1940) and *The Autobiography of W.E.B. Du Bois*, edited by Herbert Aptheker (New York: International, 1968). Studies of Du Bois's social and political involvements include Francis L. Broderick, *W.E.B. Du Bois: Negro Leader in a Time of Crisis* (Stanford, CA: Stanford University Press, 1959); Cary D. Wintz, *African-American Political Thought, 1890-1930: Washington, Du Bois, Garvey, and Randolph* (Ammonk, NY: Sharpe, 1996); Charles F. Peterson, *DuBois, Fanon, Cabral: The Margins of Elite Anti-Colonial Leadership* (Lanham, MD: Lexington Books, 2007); and Reiland Rabaka's books, *W.E.B. Du Bois and the Problems of the Twenty-First Century: An Essay on Africana Critical Theory*; and *Du Bois's Dialectics: Black Radical Politics and the Reconstruction of Critical Social Theory* (both Lanham, MD: Lexington Books, 2007 and 2008). For a more philosophical treatment of Du Bois, see Joel Williamson, *The Crucible of Race* (New York: Oxford University Press, 1984) and Bernard W. Bell, Emily R. Grosholz, and James B. Stewart, eds., *W.E.B. Du Bois on Race and Culture: Critiques and Extrapolations* (London: Routledge, 1996).

THE SOULS OF BLACK FOLKS (in part)

CHAPTER 1: OF OUR SPIRITUAL STRIVINGS

O water, voice of my heart, crying in the sand,
All night long crying with a mournful cry,
As I lie and listen, and cannot understand
The voice of my heart in my side or the voice of the sea,

O water, crying for rest, is it I, is it I?
All night long the water is crying to me.

—Arthur Symons

Unresting water, there shall never be rest
Till the last moon droop and the last tide fail,
And the fire of the end begin to burn in the west;
And the heart shall be weary and wonder and cry like the sea,
All life long crying without avail,
As the water all night long is crying to me.

To the real question, How does it feel to be a problem? I answer seldom a word.

And yet, being a problem is a strange experience,—peculiar even for one who has never been anything else, save perhaps in babyhood and in Europe. It is in the early days of rollicking boyhood that the revelation first bursts upon one, all in a day, as it were. I remember well when the shadow swept across me—I was a little thing, away up in the hills of New England, where the dark Housatonic winds between Hoosac and Taughannic to the sea. In a wee wooden schoolhouse, something put it into the boys' and girls' heads to buy gorgeous visiting-cards—ten cents a package—and exchange. The exchange was merry, till one girl, a tall newcomer, refused my card,—refused it peremptorily, with a glance. Then it dawned upon me with a certain suddenness that I was different from the others; or like, maybe, in heart and life and longing, but shut out from their world by a vast veil. I had thereafter no desire to tear down that veil, to creep through; I held all beyond it in common contempt, and lived above it in a region of blue sky and great wandering shadows. That sky was bluest when I could beat my mates at examination-time, or beat them at a foot-race, or even beat their stringy heads. Alas, with the years all this fine contempt began to fade; for the world I longed for, and all their dazzling opportunities, were theirs, not mine. But they should not keep these prizes, I said; some, all, I would wrest from them. Just how I would do it I could never decide: by reading law, by healing the sick, by telling the wonderful tales that swam in my head,—some way. With other black boys the strife was not so fiercely sunny: their youth shrunk into tasteless sycophancy, or into silent hatred of the pale world about them and mocking distrust of everything white; or wasted itself in a bitter cry. Why did God make me an outcast and a stranger in mine own house? The shades of the prison-house closed round about us all:

walls strait and stubborn to the whitest, but relentlessly narrow, tall, and unscaleable to sons of night who must plod darkly on in resignation, or beat unavailing palms against the stone, or steadily, half hopelessly, watch the streak of blue above.

After the Egyptian and Indian, the Greek and Roman, the Teuton and Mongolian, the Negro is a sort of seventh son, born with a veil, and gifted with second-sight in this American world,—a world which yields him no true self-consciousness, but only lets him see himself through the revelation of the other world. It is a peculiar sensation, this double-consciousness, this sense of always looking at one's self through the eyes of others, of measuring one's soul by the tape of a world that looks on in amused contempt

and pity. One ever feels his twoness—an American, a Negro: two souls, two thoughts, two unrecconciled strivings; two warring ideals in one dark body, whose dogged strength alone keeps it from being torn asunder.

The history of the American Negro is the history of this strife,—this longing to attain self-conscious manhood, to merge his double self into a better and truer self. In this merging he wishes neither of the older selves to be lost. He would not Africanize his Negro soul in a flood of white Americanism, for he knows that Negro blood has a message for the world. He simply wishes to make it possible for a man to be both a Negro and an American, without being cursed and spit upon by his fellows, without having the doors of Opportunity closed roughly in his face.

This, then, is the end of his striving: to be a co-worker in the kingdom of culture, to escape both death and isolation, to husband and use his best powers and his latent genius. These powers of body and mind have in the past been strangely wasted, dispersed, or forgotten. The shadow of a mighty Negro past flits through the tale of Ethiopia the Shadowy and of Egypt the Sphinx. Throughout history, the powers of single black men flash here and there like falling stars, and die sometimes before the world has rightly gauged their brightness. Here in America, in the few days since Emancipation, the black man's turning hither and thither in hesitant and doubtful striving has often made his very strength to lose effectiveness, to seem like absence of power, like weakness. And yet it is not weakness,—it is the contradiction of double aims. The double-aimed struggle of the black artisan—on the one hand to escape white contempt for a nation of mere hewers of wood and drawers of water, and on the other hand to plough and nail and dig for a poverty-stricken horde—could only result in making him a poor craftsman, for he had but half a heart in either cause. By the poverty and ignorance of his people, the Negro minister or doctor was tempted toward quackery and demagogic; and by the criticism of the other world, toward ideals that made him ashamed of his lowly tasks. The would-be black savant was confronted by the paradox that the knowledge his people needed was a twice-told tale to his white neighbors, while the knowledge which would teach the white world was Greek to his own flesh and blood. The innate love of harmony and beauty that set the ruder souls of his people a-dancing and a-singing raised but confusion and doubt in the soul of the black artist; for the beauty revealed to him was the soul-beauty of a race which his larger audience despised, and he could not articulate the message of another people. This waste of double aims, this seeking to satisfy two unreconciled ideals, has wrought sad havoc with the courage and faith and deeds of ten thousand thousand people,—has sent them often wailing false gods and invoking false means of salvation, and at times has even seemed about to make them ashamed of themselves.

Away back in the days of bondage they thought to see in one divine event the end of all doubt and disappointment; few men ever worshipped Freedom with half such unquestioning faith as did the American Negro for two centuries. To him, so far as he thought and dreamed, slavery was indeed the sum of all villainies, the cause of all sorrow, the root of all prejudice; Emancipation was the key to a promised land of sweeter beauty than ever stretched before the eyes of weaned Israelites. In song and exhortation swelled one refrain—Liberty; in his tears and curses the God he implored had Freedom in his right hand. At last it came,—suddenly, fearfully, like a dream. With one wild carnival of blood and passion came the message in his own plaintive cadences:—

"Shout, O children!
Shout, you're free!
For God has bought your liberty!"

Years have passed away since then,—ten, twenty, forty; forty years of national life, forty years of renewal and development, and yet the swarthy spectre sits in its accustomed seat at the Nation's feast. In vain do we cry to this our vastest social problem:—

"Take any shape but that, and my firm nerves
Shall never tremble!"

The Nation has not yet found peace from its sins; the freedman has not yet found in freedom his promised land. Whatever of good may have come in these years of change, the shadow of a deep disappointment rests upon the Negro people,—a disappointment all the more bitter because the unattained ideal was unbounded save by the simple ignorance of a lowly people.

The first decade was merely a prolongation of the vain search for freedom the boon that seemed ever barely to elude their grasp.—like a tantalizing will-o'-the-wisp, maddening and misleading the headless host. The holocaust of war, the terrors of the Ku Klux Klan, the lies of carpet-baggers, the disorganization of industry, and the contradictory advice of friends and foes, left the bewildered serv with no new watch word beyond the old cry for freedom. As the time flew, however, he began to grasp a new idea. The ideal of liberty demanded for its attainment powerful means, and these the Fifteenth Amendment gave him. The ballot, which before he had looked upon as a visible sign of freedom, he now regarded as the chief means of gaining and perfecting the liberty with which war had partially endowed him. And why not? Had not votes made war and emancipated millions? Had not votes enfranchised the freedmen? Was anything impossible to a power that had done all this? A million black men started with renewed zeal to vote themselves into the kingdom. So the decade flew away, the revolution of 1876 came, and left the half-free, self-weary, wondering, but still inspired. Slowly but steadily, in the following years, a new vision began gradually to replace the dream of political power,—a powerful movement, the rise of another ideal to guide the unguided, another pillar of fire by night after a clouded day. It was the ideal of "book-learning"; the curiosity, born of compulsory ignorance, to know and test the power of the cabalistic letters of the white man, the longing to know. Here at last seemed to have been discovered the mountain path to Canaan; longer than the highway of Emancipation and law, steep and rugged, but straight, leading to heights high enough to overlook life.

Up the new path the advance guard toiled, slowly, heavily, doggedly; only those who have watched and guided the faltering feet, the misty minds, the dull understandings, of the dark pupils of these schools know how faithfully, how piteously, this people strove to learn. It was weary work. The cold statistician wrote down the inches of progress here and there, noted also where here and there a foot had slipped or some one had fallen. To the tired climbers, the horizon was ever dark, the mists were often cold, the Canaan was always dim and far away. If, however, the vistas disclosed as yet no goal, no resting-place, little but flattery and criticism, the journey at least gave leisure for reflection and self-examination; it changed the child of Emancipation to the youth with dawning self-consciousness, self-realization, self-respect. In those sombre forests of his striving his own soul rose before him, and he saw himself,—darkly as through a veil; and yet he saw in himself some faint revelation of his power, of his mission. He began to have a dim feeling that, to attain his place in the world, he must be himself, and not another. For the first time he sought to analyze the burden he bore upon his back, that dead-weight of social degradation partially masked behind a halfnamed Negro problem. He felt his poverty; without a cent, without a home, without land, tools, or savings, he had entered into competition with rich, landed, skilled neighbors. To be a poor man is hard, but to be a poor race in a land of dollars is the very bottom of hardships. He felt the weight of his ignorance,—not simply of letters, but of life, of business, of the humanities; the accumulated sloth and shirking and awkwardness of decades and centuries shackled his hands and feet. Nor was his burden all poverty and ignorance. The red stain of basitardy, which two centuries of systematic legal defilement of Negro women had stamped upon his race, meant not only the loss of ancient African chastity, but also the hereditary weight of a mass of corruption from white adulterers, threatening almost the obliteration of the Negro home.

A people thus handicapped ought not to be asked to race with the world, but rather allowed to give all its time and thought to its own social problems. But alas! while sociologists gleefully count his bastards and his prostitutes, the very soul of the toiling, sweating black man is darkened by the shadow of a vast despair. Men call the shadow prejudice, and learnedly explain it as the natural defence of culture against barbarism, learning against ignorance, purity against crime, the "higher" against the "lower" races. To which the Negro cries Amen! and swears that to so much of this strange prejudice as is founded on just homage to civilization, culture, righteousness, and progress, he humbly bows and meekly does obeisance. But before that nameless prejudice that leaps beyond all this he stands helpless, dismayed, and well-nigh speechless; before that personal disrespect and mockery, the ridicule and systematic

humiliation, the distortion of fact and wanton license of fancy, the cynical ignoring of the better and the boisterous welcoming of the worse, the all-pervading desire to inculcate disdain for everything black, from Toussaint to the devil,—before this there rises a sickening despair that would disarm and discourage any nation save that black host to whom "discouragement" is an unwritten word.

But the facing of so vast a prejudice could not but bring the inevitable self-questioning, self-disparagement, and lowering of ideals which ever accompany repression and breed in an atmosphere of contempt and hate. Whispersings and portents came borne upon the four winds: Lo! we are diseased and dying, cried the dark hosts; we cannot write, our voting is vain; what need of education, since we must always cook and serve? And the Nation echoed and enforced this self-criticism, saying: Be content to be servants, and nothing more; what need of higher culture for half-men? Away with the black man's ballot, by force or fraud,—and behold the suicide of a race! Nevertheless, out of the evil came something of good,—the more careful adjustment of education to real life, the clearer perception of the Negroes' social responsibilities, and the sobering realization of the meaning of progress.

So dawned the time of *Sturm und Drang*: storm and stress to-day rocks our little boat on the mad waters of the world-sea; there is within and without the sound of conflict, the burning of body and rending of soul; inspiration strives with doubt, and faith with vain questionings. The bright ideals of the past,—physical freedom, political power, the training of brains and the training of hands,—all these in turn have waxed and waned, until even the last grows dim and overcast. Are they all wrong,—all false? No, not that, but each alone was over-simple and incomplete,—the dreams of a credulous race-childhood, or the fond imaginings of the other world which does not know and does not want to know our power. To be really true, all these ideals must be melted and welded into one. The training of the schools we need to-day more than ever,—the training of deft hands, quick eyes and ears, and above all the broader, deeper, higher culture of gifted minds and pure hearts. The power of the ballot we need in sheer self-defence,——else what shall save us from a second slavery? Freedom, too, the long-sought, we still seek,—the freedom of life and limb, the freedom to work and think, the freedom to love and aspire. Work, culture, liberty,—all these we need, not singly but together, not successively but together, each growing and aiding each, and all striving toward that vaster ideal that swims before the Negro people, the ideal of human brotherhood, gained through the unifying ideal of Race; the ideal of fostering and developing the traits and talents of the Negro, not in opposition to or contempt for other races, but rather in large conformity to the greater ideals of the American Republic, in order that some day on American soil two world-races may give each to each those characteristics both so sadly lack. We the darker ones come even now not altogether empty-handed: there are to-day no truer exponents of the pure human spirit of the Declaration of Independence than the American Negroes; there is no true American music but the wild sweet melodies of the Negro slave; the American fairy tales and folk-lore are Indian and African; and, all in all, we black men seem the sole oasis of simple faith and reverence in a dusky desert of dollars and smartness. Will America be poorer if she replace her brutal dyspeptic blundering with lighthearted but determined Negro humility? or her coarse and cruel wit with loving, joyful good-humor? or her vulgar music with the soul of the Sorrow Songs?

Merely a concrete test of the underlying principles of the great republic is the Negro Problem, and the spiritual striving of the freedmen's sons is the travail of souls whose burden is almost beyond the measure of their strength, but who bear it in the name of an historic race, in the name of this the land of their fathers' fathers, and in the name of human opportunity.



W.E.B. Du Bois (on the left) and his high school graduating class, Great Barrington, Massachusetts, 1884.
(W.E.B. Du Bois Library)

WW I Poems

Flander's Field

by John McCrae, May 1915

In Flanders fields the poppies blow
Between the crosses, row on row,
That mark our place; and in the sky
The larks, still bravely singing, fly
Scarce heard amid the guns below.
We are the Dead. Short days ago
We lived, felt dawn, saw sunset glow,
Loved and were loved, and now we lie
In Flanders fields.
Take up our quarrel with the foe:
To you from failing hands we throw
The torch; be yours to hold it high.
If ye break faith with us who die
We shall not sleep, though poppies grow
In Flanders fields.

Anthem For Doomed Youth

By Wilfred Owen, September - October, 1917

What passing-bells for these who die as cattle?
Only the monstrous anger of the guns.
Only the stuttering rifles' rapid rattle
Can patter out their hasty orisons.
No mockeries now for them; no prayers nor bells;
Nor any voice of mourning save the choirs, –
The shrill, demented choirs of wailing shells;
And bugles calling for them from sad shires.
What candles may be held to speed them all?
Not in the hands of boys but in their eyes
Shall shine the holy glimmers of goodbyes.
The pallor of girls' brows shall be their pall;
Their flowers the tenderness of patient minds,
And each slow dusk a drawing-down of blinds.

Trench Duty (published in *Counter Attack*, 27 June 1918)

By Siegfried Sassoon

Shaken from sleep, and numbed and scarce
awake,
Out in the trench with three hours' watch to take,
I blunder through the splashing mirk; and then
Hear the gruff muttering voices of the men
Crouching in cabins candle-chinked with light.
Hark! There's the big bombardment on our right
Rumbling and bumping; and the dark's a glare
Of flickering horror in the sectors where
We raid the Bosche; men waiting, stiff and chilled,
Or crawling on their bellies through the wire.
"What? Stretcher-bearers wanted? Some one
killed?"
Five minutes ago I heard a sniper fire:
Why did he do it? ... Starlight overhead -
Blank stars. I'm wide-awake; and some chap's
dead.

How to Die

By Siegfried Sassoon

Dark clouds are smouldering into red
While down the craters morning burns.
The dying soldier shifts his head
To watch the glory that returns;
He lifts his fingers toward the skies
Where holy brightness breaks in flame;
Radiance reflected in his eyes,
And on his lips a whispered name.

You'd think, to hear some people talk,
That lads go West with sobs and curses,
And sullen faces white as chalk,
Hankering for wreaths and tombs and hearses.
But they've been taught the way to do it
Like Christian soldiers; not with haste
And shuddering groans; but passing through it
With due regard for decent taste.

Before Action

by Lieutenant William Noel Hodgson, MC,
29th June, 1916

By all the glories of the day
And the cool evening's benison
By that last sunset touch that lay
Upon the hills when day was done,
By beauty lavishly outpoured
And blessings carelessly received,
By all the days that I have lived
Make me a soldier, Lord.

By all of all man's hopes and fears
And all the wonders poets sing,
The laughter of unclouded years,
And every sad and lovely thing;
By the romantic ages stored
With high endeavour that was his,
By all his mad catastrophes
Make me a man, O Lord.

I, that on my familiar hill
Saw with uncomprehending eyes
A hundred of thy sunsets spill
Their fresh and sanguine sacrifice,
Ere the sun swings his noonday sword
Must say good-bye to all of this; -
By all delights that I shall miss,
Help me to die, O Lord

Louse Hunting

By Isaac Rosenberg, 1917

Nudes - stark and glistening,
Yelling in lurid glee. Grinning faces
And raging limbs
Whirl over the floor one fire.
For a shirt verminously busy
Yon soldier tore from his throat, with oaths
Godhead might shrink at, but not the lice.
And soon the shirt was afire
Over the candle he'd lit while we lay.

Then we all sprang up and stript
To hunt the verminous brood.
Soon like a demons' pantomime
The place was raging.
See the silhouettes agape,
See the gibbering shadows
Mixed with the battled arms on the wall.
See gargantuan hooked fingers
Pluck in supreme flesh
To smutch supreme littleness.
See the merry limbs in hot Highland fling
Because some wizard vermin
Charmed from the quiet this revel
When our ears were half lulled
By the dark music
Blown from Sleep's trumpet.

From Jean-Paul Sartre, EXISTENTIALISM AND HUMANISM

What is this that we call existentialism? . . . Actually it is the least shocking doctrine, and the most austere; it is intended strictly for technicians, and philosophers. However, it can easily be defined. What makes the matter complicated is that there are two kinds of existentialists: the first who are Christian, and among whom I will include Jaspers and Gabriel Marcel, of the Catholic faith; and also, the atheistic existentialists among whom we must include Heidegger, and also the French existentialists, and myself. What they have in common is simply the fact that they think that existence precedes essence, or, if you wish, that we must start from subjectivity . . .

. . . What does it mean here that existence precedes essence? It means that man exists first, experiences himself, springs up in the world, and that he defines himself afterwards. If man, as the existentialist conceives him, is not definable, it is because he is nothing at first. He will only be [something] afterwards, and he will be as he will have made himself. So, there is no human nature, since there is no God to think it. Man simply is, not only as he conceives himself, but as he determines himself, and as he conceives himself after existing, as he determines himself after this impulse toward existence; man is nothing other than what he makes himself. This is the first principle of existentialism. It is also what we call subjectivity . . . Man is at first a project which lives subjectively, instead of being a moss, a decaying thing, or a cauliflower; nothing exists prior to this project; nothing is intelligible in the heavens, and man will at first be what he has planned to be. Not what he may wish to be. . . . If existence really precedes essence, man is responsible for what he is. Thus, the first step of existentialism is to show every man [to be] in control of what he is and to make him assume total responsibility for his existence. And, when we say that man is responsible for himself, we do not [only] mean that man is responsible for his precise individuality, but that he is responsible for all men. . . . When we say that man determines himself, we understand that each of us chooses himself, but by that we mean also that in choosing himself he chooses all men. Indeed, there is one of our actions which, in creating the man we wish to be, does not [also] create at the same time an image of the man we think we ought to be. To choose to be this or that, is to affirm at the same time the value of what we choose, for we can never choose evil; what we choose is always the good, and nothing can be good for us without [also] being [good] for all. . . .

This enables us to understand what some rather lofty words, like anguish, abandonment, despair mean. As you will see, it is quite simple. First, what do we mean by anguish? The existentialist readily declares that man is [in] anguish. That means this: the man who commits himself and who realizes that it is not only himself that he chooses, but [that] he is also a lawgiver choosing at the same time [for] all mankind, would not know how to escape the feeling of his total and profound responsibility. Certainly, many men are not anxious; but we claim that they are hiding their anguish, that they are fleeing from it; certainly, many men believe [that] in acting [they] commit only themselves, and when one says to them: "what if everyone acted like that?" they shrug their shoulders ritually: "everyone does not act like that." But really, one should always ask himself: "what would happen if everyone did the same?" and we cannot escape this troubling thought

except by a kind of bad faith. The man who lies and who excuses himself by declaring: "everyone does not act like that," is someone who is ill at ease with his conscience, because the act of lying implies a universal value attributed to the lie. Even when it conceals itself, anguish appears. . .

And when we speak of abandonment, an expression clear to Heidegger, we mean only that God does not exist, and that we must draw out the consequences of this to the very end. . . . The existentialist, on the contrary, thinks that it is very troubling that God does not exist, for with him disappears every possibility of finding values in an intelligible heaven; there can no longer be any good *a priori*, since there is no infinite and perfect consciousness to think it; it is not written anywhere that the good exists, that we must be honest, that we must not lie, since precisely we exist in a context where there are only men.

Dostoyevsky has written, "If God did not exist, everything would be allowed." This is the point of departure for existentialism. Indeed, everything is allowed if God does not exist, and consequently man is abandoned, because neither in himself nor beyond himself does he find any possibility of clinging on [to something]. At the start, he finds no excuses. If, indeed, existence precedes essence, we will never be able to give an explanation by reference to a human nature [which is] given and fixed; in other words, there is no determinism, man is free, man is freedom. Moreover, if God does not exist, we do not find before us any values or orders which will justify our conduct. So, we have neither behind us nor before us, in the luminous realm of values, any justifications or excuses. We are alone, without excuses. It is what I will express by saying that man is condemned to be free. Condemned, because he has not created himself, and nevertheless, in other respects [he is] free, because once [he is] cast into the world, he is responsible for everything that he does. . . .

Abandonment implies that we ourselves choose our being. Abandonment goes with anguish. As for despair, this expression has a very simple meaning. It means that we will restrict ourselves to a reliance upon that which depends on our will, or on the set of the probabilities which make our action possible. . . . From the moment when the possibilities that I am considering are not strictly involved by my action, since it adds: "man is nothing other than his project, he exists only in so far as he realizes himself, thus he is nothing other than the whole of his actions, nothing other than his life." According to this, we can understand why our doctrine horrifies a good many men. Because often they have only one way of enduring their misery. It is to think: "circumstances have been against me, I was worth much more than what I have been; to be sure, I have not had a great love, or a great friendship, but it is because I have not met a man or a woman who was worthy of it. I have not written very good books because I have not had the leisure to do it. I have not had children to whom to devote myself because I did not find a person with whom I could have made my life. [There] remains, then, in me, unused and wholly feasible a multitude of dispositions, inclinations, possibilities which give me a worth that the simple set of my actions does not allow [one] to infer." Now, in reality, for the existentialist there is no love other than that which is made, there is no possibility of love other than that which manifests itself in a love; there is no genius other than that which

expresses itself in works of art. The genius of Proust is the totality of Proust's works; the genius of Racine is the set of his tragedies, beyond that there is nothing. Why [should we] attribute to Racine the possibility of writing a new tragedy, since precisely he did not write it? In his life a man commits himself, draws his own figure, and beyond this figure there is nothing. Obviously, this thought may seem harsh to someone who has not had a successful life. But, on the other hand, it prepares men to understand that only reality counts, that the dreams, the expectations, the hopes allow [us] only to define a man as [a] disappointed dream, as miscarried hopes, as useless expectations; that is to say that that defines them negatively and not positively. However, when we say "you are nothing other than your life," that does not imply that the artist will be judged only by his art-works, for a thousand other things also contribute to define him. What we mean is that man is nothing other than a set of undertakings, that he is the sum, the organization, the whole of the relations which make up these undertakings.

You Are What You Do

According to Sartre, you create yourself through your choices, as we have explained in the text. But be aware that for Sartre these self-creating choices are not found in mere "philosophical" abstractions or speculations. The choices that count, for Sartre, are those that issue forth in actions. "There is reality only in action," he wrote—"man is nothing other than the whole of his actions." This means that, according to Sartre, no hidden self or true you lies behind your deeds. If, for example, in your actions you are impatient and unforgiving, it is a fiction for you to think, "Well, if others could see into my heart they would know

that in reality I am patient and understanding." If you are cowardly in your deeds, you deceive yourself if you believe that "in truth" or "deep, down inside" you are courageous. If you have not written great poetry, then it is an illusion for you to believe that you nevertheless have the soul of a great poet. It is easy to see why Sartre believed that his doctrine horrified many people. Many people think of their behavior as but poorly reflecting their true character, which they believe is in some way superior to the character that displays itself in their actions. Those who think this deceive themselves, according to Sartre.

Human life begins on the other side of despair. Man's freedom is to say no, and this means that he is the being by whom nothingness comes into being. Thus, there is no human nature because there is no God to have a conception of it. Man simply is.... Man is nothing else but that which he makes of himself. That is the first principle of existentialism.

Nothing will be changed if God does not exist;

We will rediscover the same norms of honesty, progress, and humanity.

To live "authentically" we must be conscious of our freedom to choose and be concerned with the effect our choice will have on all men.

The existentialist does not believe in the power of passion. He will never think that a noble passion is a devastating torrent which leads man to [do] certain actions, and which, consequently, is an excuse. He thinks that man is responsible for his passion.

Profile: Jean-Paul Sartre (1905–1980) and Simone de Beauvoir (1908–1987)

Jean Paul Sartre studied philosophy at the Sorbonne. He also studied the philosophies of Husserl and Heidegger, and spent one year in Berlin. While still a graduate student he met *Simone de Beauvoir*, who later played a key role in the early phases of the women's liberation movement, especially with her famous book, *The Second Sex* (1948). Their friendship and mutual support lasted until Sartre's death, though, in the opinion of historian Paul Johnson, "in the annals of literature, there are few worse cases of a man exploiting a woman." (Sartre never wrote anything about their relationship.)

During World War II, Sartre served in the French army, became a German prisoner of war, escaped, and worked in the Resistance movement. Throughout his life he supported political causes and movements, including the French Communist party. In 1951, he tried unsuccessfully to found a new political Party, radically leftist but non-Communist in orientation.

In 1964, Sartre declined the Nobel Prize in Literature, believing that such awards could make a writer too influential. When Sartre died, 18,000 people marched behind his coffin through the streets of Paris.



Is Sartre Only for Atheists?

If God does exist, then technically speaking we are not "abandoned." But some of the main problems that arise from abandonment seem also to arise merely if we cannot know whether God exists. For even if we know what the standards and criteria are, just what they mean will still be a matter for subjective interpretation. And so the human dilemma that results may be very much the same as if there were no God.

Nonatheists should not dismiss Sartre too hastily.

and also know that values are grounded in God,

Sartre on Life

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Thus, there is no human nature because there is no God to have a conception of it. Man simply is.... Man is nothing else but that which he makes of himself. That is the first principle of existentialism.

This responsibility for oneself and thus for all humankind, Sartre thought, we experience as anguish, and it is clear why he maintained that this is so: our responsibility is total and profound, and absolutely inescapable. You might perhaps object that many people, perhaps even most, certainly do not seem to be particularly anxious, let alone anguished. It is true, Sartre admitted, that many people are not consciously or visibly anxious. But this merely is because they are hiding or fleeing from their responsibility: they act and live in self-deception, inauthenticity, and "**bad faith.**" Further, he said, they are ill at ease with their conscience, for "even when it conceals itself, anguish appears."

It is not difficult to understand why one might seek to avoid shunning one's responsibility to oneself and thus to others, for as Sartre depicted if, this responsibility is overwhelming. But in Sartre's view something else also contributes to the difficulty of this task: one does not know what to choose because the world is experienced as absurd. It is experienced as absurd, Sartre maintains, because, since God does not exist, it lacks necessity—it lacks an ultimate rhyme or reason for being this way and not that way. It (the world) therefore is experienced as fundamentally senseless, unreasonable, illogical, and, therefore, nauseating. It calls forth both revulsion and boredom. It is "perfectly gratuitous" (gratuite parfaite) and often just simply too much (de trop).

Nevertheless, according to Sartre, it is only through acceptance of our responsibility that we may live in **authenticity**. To be responsible, to live authentically, means intentionally to make choices about one's life and one's future. These choices are made most efficaciously, Sartre maintained, by becoming "engaged" in the world and by selecting a fundamental project. A life gains wholeness and purpose through adoption of such a fundamental project, for this project can mobilize and direct all one's life energies and permit one to make spontaneous choices. Through this project, in short, the individual creates a world that does not yet exist and thus gives meaning to his or her life.

So Sartre's metaphysics (or anti-metaphysics), which stood opposed to the belief in God, determinism, necessity, and the objectivity of values, in effect leaves the human individual in what may plausibly be called an absurd situation. There is nothing that one must do; there is nothing that must be done. To find meaning in life, the individual must create his or her world and its values by making authentic choices. These choices first take the form of intentions directed toward future events. Then they become actions of an engaged being in a world of people, a political (and politically troubled) world. The choices that we make are made by us for all humankind and are, therefore, in this limited sense "absolute" ethical principles. Although we initially find ourselves in an absurd world not of our choosing, we can remake that world through our choices and actions, and we must do so, as difficult as that may be.

Third, because there is no God and hence no divine plan that determines what must happen, "there is no determinism." Thus, "man is free," Sartre wrote; "man is freedom"; in fact, he is condemned to be free. Nothing forces us to do what we do. Thus, he said, "we are alone, without excuses," by which he means simply that we cannot excuse our actions by saying that we were forced by circumstances or moved by passion or otherwise determined to do what we did.

Fourth, because there is no God, there is no objective standard of values: "It is very troubling that God does not exist," Sartre wrote, "for with him disappears every possibility of finding values . . . there can no longer be any good a priori." Consequently, because a Godless world has no objective values, we must establish or invent our own values.

Consider briefly what these various consequences of our "abandonment" entail. That we find ourselves in this world without a God-given "human nature" or "essence"; that we are active, conscious, and self-aware subjects; that we are totally free and unconstrained (and unencumbered) by any form of determinism; and that we must create our own values—these facts mean that each individual has an awesome responsibility, according to Sartre:

First of all, we are responsible for what we are. "Abandonment implies that we ourselves choose our being," he wrote. Second, we must invent our own values. And third and finally, because, according to Sartre, "nothing can be good for us without [also] being [good] for all," in inventing our own values we also function as universal legislators of right and wrong, good and evil. In choosing for ourselves, we choose for all. "Thus," he wrote, "our responsibility is much greater than we had supposed it for it involves all mankind."

Man, Sartre said, is abandoned, by which we mean that God does not exist." And according to Sartre, the abandonment of man—that is, the nonexistence of God—has drastic philosophical implications. Basically, there are four. First, because there is no God, there is no maker of man and no such thing as a divine conception of man in accordance with which man was created. This means, Sartre thought, that there is no such thing as a human nature that is common to all humans; no such thing as a specific essence that defines what it is to be human. Past philosophers had maintained that each thing in existence has a definite, specific essence; Aristotle, for example, believed that the essence of being human was being rational. But for Sartre, the person must produce his or her own essence, because no God created human beings in accordance with a divine concept. Thus, in the case of man, Sartre wrote, "existence precedes essence," by which he meant very simply that you are what you make of yourself. You are what *you* make of yourself.

The second implication of the nonexistence of God is this. Because there is no God, there is no ultimate reason why anything has happened or why things are the way they are and not some other way. This means that the individual in effect has been thrown into existence without any real reason for being. But this does not mean that the individual is like a rock or a flea, which also (because there is no God) have no ultimate reason or explanation. Rocks and fleas, Sartre would say, only have what he calls "being-in-itself" (in French, *être-en-soi*), or mere existence. But a human being, according to Sartre, not only exists, that is, has being-in-itself, but also has "being-for-itself" (*être-pour-soi*), which means that a human being, unlike an inanimate object or vegetable, is a self-aware or conscious subject that creates its own future. We will return to this point momentarily.

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Consider briefly what these various consequences of our "abandonment" entail. That we find ourselves in this world without a God-given "human nature" or "essence"; that we are active, conscious, and self-aware subjects; that we are totally free and unconstrained (and unencumbered) by any form of determinism; and that we must create our own values—these facts mean that each individual has an awesome responsibility, according to Sartre:

First of all, we are responsible for what we are. "Abandonment implies that we ourselves choose our being," he wrote. Second, we must invent our own values. And third and finally, because, according to Sartre, "nothing can be good for us without [also] being [good] for all," in inventing our own values we also function as universal legislators of right and wrong, good and evil. In choosing for ourselves, we choose for all. "Thus," he wrote, "our responsibility is much greater than we had supposed it for it involves all mankind."

This exposition of Sartre's thought focuses on his understanding of what might he called the existential predicament. His thinking evolved over time and he became increasingly concerned—like Camus—with social and political issues. These interests and his fascination with Marxist philosophy led to a modification of his existentialist stance, but we can do no more in this book than mention this. We have also not dealt with his epistemology, his aesthetics, or his views on psychoanalysis.

The riddle does not exist:

- If a question can be framed at all, it is also possible to answer it.
 Scepticism is not irrefutable, but obviously nonsensical, when it tries to raise doubts where no questions can be asked.
- For doubt can exist only where a question exists; a question only where an answer exists, and an answer only where something can be said.
- We feel that even when all possible scientific questions have been answered, the problems of life remain completely untouched. Of course there are then no questions left, and this itself is the answer.
- The solution of the problem of life is seen in the vanishing of the problem. (As not this the reason why those who have found after a long period of doubt that the sense of life became clear to them have then been unable to say what constituted that sense?)
- There are, indeed, things that cannot be put into words. They make themselves manifest. They are what is mystic.
- 6.52 The correct method in philosophy would really be the following: to say nothing except what can be said, i.e., propositions of natural science—i.e., something that has nothing to do with philosophy—and then, whenever someone else wanted to say something metaphysical, to demonstrate to him that he had failed to give a meaning to certain signs in his propositions. Although it would not be satisfying to the other person—he would not have the feeling that we were teaching him philosophy—this method would be the only strictly correct one.
- 6.522 My propositions serve as elucidations in the following way: anyone who understands me eventually recognizes them as nonsensical, when he has used them—as steps—to climb up beyond them. (He must, so to speak, throw away the ladder after he has climbed up it.)
- He must transcend these propositions, and then he will see the world aright.
- 7 What we cannot speak about we must consonant to silence.

Ludwig Wittgenstein**PHILOSOPHICAL INVESTIGATIONS
(in part)**understand what objects they signified; and after I had trained my mouth to form these signs, I used them to express my own desires" (Augustine, *Confessions*, I, 8).

These words, it seems to me, give us a particular picture of the essence of human language. It is this: the individual words in language name objects—sentences are combinations of such names. In this picture of language we find the roots of the following idea: Every word has a meaning. This meaning is correlated with the word. It is the object for which the word stands.

Augustine does not speak of there being any difference between kinds of word. If you describe the learning of language in this way you are, I believe, thinking primarily of nouns like "table," "chair," "bread," and of people's names, and only secondarily of the names of certain actions and properties; and of the remaining kinds of word as something that will take care of itself.

Now think of the following use of language: I send someone shopping. I give him a slip marked "five red apples." He takes the slip to the shopkeeper, who opens the drawer marked "apples"; then he looks up the word "red" in a table and finds a colour sample opposite it; then he says the series of cardinal numbers—I assume that he knows them by heart—up to the word "five" and for each number he takes an apple of the same colour as the sample out of the drawer. It is in this and similar ways that one operates with words. "But how does he know where and how he is to look up the word 'red' and what he is to do with the word 'five'?" Well, I assume that he *acts* as I have described. Explanations come to an end somewhere.—But what is the meaning of the word "five"?—No such thing was in question here, only how the word "five" is used.

2. That philosophical concept of meaning has its place in a primitive idea of the way language functions. But one can also say that it is the idea of a language more primitive than ours.

Let us imagine a language for which the description given by Augustine is right. The language is meant to serve for communication between a builder A and an assistant B. A is building with building-stones: there are blocks, pillars, slabs and beams. B has to pass the stones, and that in the order in which A needs them. For this purpose they use a language consisting of the words "block," "pillar," "slab," "beam." A calls them out;—B brings the stone which he has learnt to bring at such-and-such a call.—Conceive this as a complete primitive language.

3. Augustine, we might say, does describe a system of communication; only not everything that we call language is this system. And one has to say this in many cases where the question arises 'Is this an appropriate description or not?' The answer is: "Yes, it is appropriate, but only for this narrowly circumscribed region, not for the whole of what you were claiming to describe."

It is as if someone were to say: "A game consists in moving objects about on a surface according to certain rules . . ." —and we replied: You seem to be thinking of board games, but there are others. You can make your definition correct by expressly restricting it to those games.

4. Imagine a script in which the letters were used to stand for sounds, and also as signs of emphasis and punctuation. (A script can be conceived as a language for describing sound-patterns.) Now imagine someone interpreting that script as if there were simply a correspondence of letters to sounds and as if the letters had not also completely different functions. Augustine's conception of language is like such an over-simple conception of the script.

5. If we look at the example in §1, we may perhaps get an inkling how much this general notion of the meaning of a word surrounds the working of language with a haze which makes clear vision impossible. It disperses the fog to study the phenomena of

language in primitive kinds of application in which one can command a clear *vi ew* of the aim and functioning of the words.

A child uses such primitive forms of language when it learns to talk. Here the teaching of language is not explanation, but training.

6. We could imagine that the language of 9/12 was the *whole* language of A and B; even the whole language of a tribe. The children are brought up to perform *these actions*, to use *these words* as they do so, and to react in *this way* to the words of others. An important part of the training will consist in the teacher's pointing to the objects, directing the child's attention to them, and at the same time uttering a word; for instance, the word "slab" as he points to that shape. (I do not want to call this "ostensive definition," because the child cannot as yet *ask* what the name is. I will call it "ostensive teaching of words." I say that it will form an important part of the training, because it is so with human beings; not because it could not be imagined otherwise.) This ostensive teaching of words can be said to establish an association between the word and the thing. But what does this mean? Well, it may mean various things; but one very likely thinks first of all that a picture of the object comes before the child's mind when it hears the word. But now, if this does happen—is it the purpose of the word?—Yes, it may be the purpose.—I can imagine such a use of words (of series of sounds). (Uttering a word is like striking a note on the keyboard of the imagination.) But in the language of (2) it is *not* the purpose of the words to evoke images. (It may, of course, be discovered that that helps to attain the actual purpose.)

But if the ostensive teaching has this effect,—am I to say that it effects an understanding of the word? Don't you understand the call "Slab!" if you act upon it in such-and-such a way?—Doubtless the ostensive teaching helped to bring this about; but only together with a particular training. With different training the same ostensive teaching of these words would have effected a quite different understanding.

"I set the brake up by connecting up rod and lever."—Yes, given the whole of the rest of the mechanism. Only in conjunction with that is it a brake-lever, and separated from its support it is not even a lever; it may be anything, or nothing.

7. In the practice of the use of language (2) one party calls out the words, the other acts on them. In instruction in the language the following process will occur: the learner *names* the objects; that is, he utters the word when the teacher points to the stone.—And there will be this still simpler exercise: the pupil repeats the words after the teacher—both of these being processes resembling language.

We can also think of the whole process of using words in (2) as one of those games by means of which children learn their native language. I will call these games "language-games" and will sometimes speak of a primitive language as a language-game.

And the processes of naming the stones and of repeating words after someone might also be called language-games. Think of much of the use of words in games like ring-a-ring-a-roses.

I shall also call the whole, consisting of language and the actions into which it is woven, the "language-game."

8. Let us now look at an expansion of language (2). Besides the four words "block," "pillar," etc., let it contain a series of words used as the shopkeeper in (1) used the numerals (it can be the series of letters of the alphabet); further, let there be two words, which may as well be "there" and "this" (because this roughly indicates their purpose), that are used in connexion with a pointing gesture; and finally a number of colour samples. A gives an order like: "d—slab—there." At the same time he shews the assistant a colour sample, and when he says "there" he points to a place on the build-

of the same colour as the sample, and brings them to the place indicated by A.—On other occasions A gives the order "this—there." At "this" he points to a building stone. And so on.

9. When a child learns this language, it has to learn the series of 'numerals' a, b, ... by heart. And it has to learn their use.—Will this training include ostensive teaching of the words?—Well, people will, for example, point to slabs and count: "a, b, c slabs."—Something more like the ostensive teaching of the words "block," "pillar," etc. would be the ostensive teaching of numerals that serve not to count but to refer to groups of objects that can be taken in at a glance. Children do learn the use of the first five or six cardinal numerals in this way.

Are "there" and "this" also taught ostensively?—Imagine how one might perhaps teach their use. One will point to places and things—but in this case the pointing occurs in the use of the words too and not merely in learning the use.—

10. Now what do the words of this language *signify*?—What is supposed to chew what they signify, if not the kind of use they have? And we have already described that. So we are asking for the expression "This word signifies *this*" to be made a part of the description. In other words the description ought to take the form: "The word ... signifies . . ."

Of course, one can reduce the description of the use of the word "slab" to the statement that this word signifies this object. This will be done when, for example, it is merely a matter of removing the mistaken idea that the word "slab" refers to the shape of building-stone that we in fact call a "block"—but the kind of *referring* this is, that is to say the use of these words for the rest, is already known.

Equally one can say that the signs "a," "b," etc. signify numbers; when for example this removes the mistaken idea that "a," "b," "c," play the part actually played in language by "block," "slab," "pillar." And one can also say that "c" means this number and not that one; when for example this serves to explain that the letters are to be used in the order a, b, c, d, etc. and not in the order a, b, d, c.

But assimilating the descriptions of the uses of words in this way cannot make the uses themselves any more like one another. For, as we see, they are absolutely unlike.

11. Think of the tools in a toolbox: there is a hammer, pliers, a saw, a screw-driver, a rule, a glue-pot, glue, nails and screws.—The functions of words are as diverse as the functions of these objects. (And in both cases there are similarities.)

Of course, what confuses us is the uniform appearance of words when we hear them spoken or meet them in script and print. For their *application* is not presented to us so clearly. Especially when we are doing philosophy!

12. It is like looking into the cabin of a locomotive. We see handles all looking more or less alike. (Naturally, since they are all supposed to be handled.) But one is the handle of a crank which can be moved continuously (it regulates the opening of a valve); another is the handle of a switch, which has only two effective positions, it is either off or on; a third is the handle of a brake-lever, the harder one pulls on it, the harder it brakes; a fourth, the handle of a pump: it has an effect only so long as it is moved to and fro.

13. When we say, 'Every word in language signifies something' we have so far said *nothing whatever*; unless we have explained exactly what distinction we wish to make. (It might be, of course, that we wanted to distinguish the words of language [8] from words 'without meaning' such as occur in Lewis Carroll's poems, or words like "Lillibulero" in songs.)

14. Imagine someone's saying: "All tools serve to modify something. Thus the hammer modifies the position of the nail, the saw the shape of the board, and so on."—

length, the temperature of the glue, and the solidity of the box." Would anything be gained by this assimilation of expressions?—

15. The word "to signify" is perhaps used in the most straightforward way when the object signified is marked with the sign. Suppose that the tools A uses in building bear certain marks. When A shows his assistant such a mark, he brings the tool that has that mark on it.

It is in this and more or less similar ways that a name means and is given to a thing.—It will often prove useful in philosophy to say to ourselves: naming something is like attaching a label to a thing.

16. What about the colour samples that A shews to B: are they part of the *language*? Well, it is as you please. They do not belong among the words; yet when I say to someone: "Pronounce the word the", you will count the second "the" as part of the sentence. Yet it has a rôle just like that of a colour-sample in language-game (8); that is, it is a sample of what the other is meant to say.

It is most natural, and causes least confusion, to reckon the samples among the instruments of the language.

((Remark on the reflexive pronoun "*this* sentence."))

17. It will be possible to say: In language (8) we have different kinds of word. For the functions of the word "slab" and the word "block" are more alike than those of "slab" and "d". But how we group words into kinds will depend on the aim of the classification,—and on our own inclination.

Think of the different points of view from which one can classify tools or chessmen.

18. Do not be troubled by the fact that languages (2) and (8) consist only of orders. If you want to say that this shews them to be incomplete, ask yourself whether our language is complete;—whether it was so before the symbolism of chemistry and the notation of the infinitesimal calculus were incorporated in it; for these are, so to speak, suburbs of our language. (And how many houses or streets does it take before a town begins to be a town?) Our language can be seen as an ancient city: a maze of little streets and squares, of old and new houses, and of houses with additions from various periods; and this surrounded by a multitude of new boroughs with straight regular streets and uniform houses.

19. It is easy to imagine a language consisting only of orders and reports in bat-tle.—Or a language consisting only of questions and expressions for answering yes and no. And innumerable others.—And to imagine a language means to imagine a form of life.

But what about this: is the call "Slab!" in example (2) a sentence or a word?—If a word, surely it has not the same meaning as the like-sounding word of our ordinary language, for in 12 it is a call. But if a sentence, it is surely not the elliptical sentence: "Slab!" of our language. As far as the first question goes you can call "Slab!" a word and also a sentence; perhaps it could be appropriately called a degenerate sentence' (as one speaks of a degenerate hyperbola); in fact it is our 'elliptical' sentence.—But that is surely only a shortened form of the sentence "Bring me a slab," and there is no such sentence in example (2).—But why should I not on the contrary have called the sentence "Bring me a slab" a *lengthening* of the sentence "Slab!"?—Because if you shout "Slab!" you really mean: "Bring me a slab, ...—But how do you do this: how do you mean *that* while you say "Slab!"? Do you say the unshortened sentence to yourself? And why should I translate the call "Slab!" into a different expression in order to say what someone means by it? And if they mean the same thing—why should I not say: "When he says 'Slab!' he means 'Slab!'"? Again, if you can mean "Bring me the slab,"

why should you not be able to mean "Slab!"? But when I call "Slab!", then what I want is, *that he should bring me a slab!*—*Certainly*, but does 'wanting this' consist in thinking in some form or other a different sentence from the one you utter?—

20. But now it looks as if when someone says 'Bring me a slab' he could mean this expression as one long word corresponding to the single word "Slab!" Then can one mean it sometimes as one word and sometimes as four? And how does one usually mean it? I think we shall be inclined to say: we mean the sentence as four words when we use it in contrast with other sentences such as "Hand me a slab," "Bring him a slab," "Bring two slabs," etc.; that is, in contrast with sentences containing the separate words of our command, in other combinations.—But what does using one sentence in contrast with others consist in? Do the others, perhaps, hover before one's mind? *All* of them? And while one is saying the one sentence, or before, or afterwards?—No. Even if such an explanation rather tempts us, we need only think for a moment of what actually happens in order to see that we are going astray here. We may say that we use the command in contrast with other sentences because *our language* contains the possibility of those other sentences. Someone who did not understand our language, a foreigner, who had fairly often heard someone giving the order: "Bring me a slab!" might believe that this whole series of sounds was one word corresponding perhaps to the word for "building-stone" in his language. If he himself had then given this order perhaps he would have pronounced it differently, and we should say: he pronounces it so oddly because he takes it for a *single* word.—But then, is there not also something different going on in him when he pronounces it,—something corresponding to the fact that he conceives the sentence as a *single* word?—Either the same thing may go on in him, or something different. For what goes on in you when you give such an order? Are you conscious of its consisting of four words *while* you are uttering it? Of course you have a *mastery* of this language—which contains those other sentences as well—but is this having a mastery something that *happens* while you are uttering the sentence?—And I have admitted that the foreigner will probably pronounce a sentence differently if he conceives it differently; but what we call his wrong conception *need* not lie in anything that accompanies the utterance of the command.

The sentence is 'elliptical,' not because it leaves out something that we think when we utter it, but because it is shortened—in comparison with a particular paradigm of our grammar.—Of course one might object here: "You grant that the shortened and the unshortened sentence have the same sense,—What is this sense, then? Isn't there a verbal expression for this sense?" But doesn't the fact that sentences have the same sense consist in their having the same *use*?—In Russian one says "stone red" instead of "the stone is red"; do they feel the copula to be missing in the sense, or attach it in *thought*?

21. Imagine a language-game in which A asks and B reports the number of slabs or blocks in a pile, or the colours and shapes of the building-stones that are stacked in such-and-such a place.—Such a report might run: "Five slabs." Now what is the difference between the report or statement "Five slabs" and the order "Five slabs"!?"—Well, it is the part which uttering these words plays in the language-game. No doubt the tone of voice and the look with which they are uttered, and much else besides, will also be different. But we could also imagine the tone's being the same—for an order and a report can be spoken in a *variety* of tones of voice and with various expressions of face—the difference being only in the application. (Of course, we might use the words 'statement' and 'command' to stand for grammatical forms of sentence and intonations; we do in fact call "Isn't the weather glorious to-day?" a question, although it is used as a statement.) We could imagine a language in which *all* statements had the form and tone

of rhetorical questions; or every command the form of the question "Would you like to . . .?" Perhaps it will then be said: "What he says has the form of a question but really a command,"—that is, the function of a command in the technique of using the language. (Similarly one says "You will do this" not as a prophecy but as a command. What makes it the one or the other?)

22. Frege's idea that every assertion contains an assumption, which is the thing that is asserted, really rests on the possibility found in our language of writing every statement in the form: "It is asserted that such-and-such is the case."—But "that such-and-such is the case" is not a sentence in our language—so far it is not a "move in the language-game. And if I write, not "It is asserted that . . ." but "It is asserted: such-and-such is the case," the words "It is asserted" simply become superfluous.

We might very well also write every statement in the form of a question followed by a "Yes"; for instance: "Is it raining? Yes!" Would this show that every statement contained a question?

Of course we have the right to use an assertion sign in contrast with a question-mark, for example, or if we want to distinguish an assertion from a fiction or a supposition. It is only a mistake if one thinks that the assertion consists of two actions, entertaining and asserting (assigning the truth-value, or something of the kind), and that in performing these actions we follow the propositional sign roughly as we sing from the musical score. Reading the written sentence loud or soft is indeed comparable with singing from a musical score, but '*meaning*' (*thinking*) the sentence that is read is not.

Frege's assertion sign marks the *beginning of the sentence*. Thus its function is like that of the full-stop. It distinguishes the whole period from a clause *within* the period. If I hear someone say "it's training" but do not know whether I have heard the beginning and end of the period, so far this sentence does not serve to tell me anything.

23. But how many kinds of sentences are there? Say assertion, question, and command?—There are *countless* kinds; countless different kinds of use of what we call "symbols," "words," "sentences." And this multiplicity is not something fixed, given once for all; but new types of language, new language-games, as we may say, come into existence, and others become obsolete and get forgotten. (We can get a *rough picture* of this from the changes in mathematics.)

Here the term "language-game" is meant to bring into prominence the fact that the speaking of language is part of an activity, or of a form of life.

Review the multiplicity of language-games in the following examples, and in others.

Giving orders, and obeying them—

Describing the appearance of an object, or giving its measurements—

Constructing an object from a description (a drawing)—

Reporting an event—

Speculating about an event—

Forming and testing a hypothesis—

Presenting the results of an experiment in tables and diagrams—

Making up a story; and reading it—

Play-acting—

Singing catches—

Guessing riddles—

Making a joke; telling it—

Solving a problem in practical arithmetic—

Translating from one language into another—
Asking, thanking, cursing, greeting, praying.

Imagine a picture representing a boxer in a particular stance. Now, this picture can be used to tell someone how he should stand, should hold himself; or how he should not hold himself; or how a particular man did stand in such-and-such a place; and so on. One might (using the language of chemistry) call this picture a proposition-radical. This will be how Frege thought of the "assumption." [Note added by Wittgenstein.]

—It is interesting to compare the multiplicity of the tools in language and of the ways they are used, the multiplicity of kinds of word and sentence, with what logicians have said about the structure of language. (Including the author of the *Tractatus Logico-Philosophicus*.)

24. If you do not keep the multiplicity of language-games in view you will perhaps be inclined to ask questions like: "What is a question?"—IS it the statement that I do not know such-and-such, or the statement that I wish the other person would tell me

7. Or is it the description of my mental state of uncertainty?—And is the cry "Help!" such a description?⁷
Think how many different kinds of thing are called "description": description of a body's position by means of its co-ordinates; description of a facial expression; description of a sensation of touch; of a mood. Of course it is possible to substitute the form of statement or description for the usual form of question: "I want to know whether . . ." or "I am in doubt whether . . ."—but this does not bring the different language-games any closer together.
. . . The significance of such possibilities of transformation, for example of turning all statements into sentences beginning "I think" or "I believe" (and thus, as it were, into descriptions of *my inner life*) will become clearer in another place. (Solipsism.)

25. It is sometimes said that animals do not talk because they lack the mental capacity. And this means: "they do not think, and that is why they do not talk." But—they simply do not talk. Or to put it better: they do not use language—if we except the most primitive forms of language.—Commanding, questioning, recounting, chatting, are as much a part of our natural history as walking, eating, drinking, playing.

26. One thinks that learning language consists in giving names to objects. Viz., to human beings, to shapes, to colours, to pains, to moods, to numbers, etc. To repeat—naming is something like attaching a label to a thing. One can say that this is preparatory to the use of a word. But *what is it a preparation for?*

27. "We name things and then we can talk about them: can refer to them in talk."—As if what we did next were given with the mere act of naming. As if there were only one thing called "talking about a thing." Whereas in fact we do the most various things with our sentences. Think of exclamations alone, with their completely different functions.

Water!
Away!
Ow!
Help!
Fine!
No!

Are you inclined still to call these words "names of objects"?

In languages (2) and (8) there was no such thing as asking something's name. This, with its correlate, ostensive definition, is, we might say, a language-game on its own. That is really to say: we are brought up, trained, to ask: "What is that called?"—upon which the name is given. And there is also a language-game of inventing a name for something, and hence of saying, "This is . . ." and then using the new name. (Thus, for example, children give names to their dolls and then talk about them and to them. Think in this connection how singular is the use of a person's name to *call/him!*)

28. Now one can ostensively define a proper name, the name of a colour, the name of a material, a numeral, the name of a point of the compass and so on. The definition of the number two, "That is called 'two'"—pointing to two nuts—is perfectly exact.—But how can two be defined like that? The person one gives the definition to doesn't know what one wants to call "two"; he will suppose that "two" is the name given to *this* group of nuts!—He may suppose this; but perhaps he does not. He might make the opposite mistake: when I want to assign a name to this group of nuts, he might understand it as a numeral. And he might equally well take the name of a person, of which I give an ostensive definition, as that of a colour, of a race, or even of a point of the compass. That is to say: an ostensive definition can be variously interpreted in every case.

29. Perhaps you say: two can only be ostensively defined in this way: "This number is called 'two'." For the word "number" here shews what place in language, in grammar, we assign to the word. But this means that the word "number" must be explained before the ostensive definition can be understood.—The word "number" in the definition does indeed shew this place; does shew the post at which we station the word. And we can prevent misunderstandings by saying: "This colour is called so-and-so;" "This length is called so-and-so," and so on. That is to say: misunderstandings are sometimes averted in this way. But is there only one way of taking the word "colour" or "length"?—Well, they just need defining.—Defining, then, by means of other words! And what about the last definition in this chain? (Do not say: "There isn't a last definition." That is just as if you chose to say: "There isn't a last house in this road; one can always build an additional one.")

Whether the word "number" is necessary in the ostensive definition depends on whether without it the other person takes the definition otherwise than I wish. And that will depend on the circumstances under which it is given, and on the person I give it to. And how he 'takes' the definition is seen in the use that he makes of the word defined.

30. So one might say: the ostensive definition explains the use—the meaning—of the word when the overall role of the word in language is clear. Thus if I know that someone means to explain a colour-word to me the ostensive definition "That is called 'sepia'" will help me to understand the word.—And you can say this, so long as you do not forget that all sorts of problems attach to the words "to know" or "to be clear." One has already to know (or be able to do) something in order to be capable of asking a thing's name. But what does one have to know?

Could one define the word "red" by pointing to something that was *not red*? That would be as if one were supposed to explain the word "*modest*" to someone whose English was weak, and one pointed to an arrogant man and said "That man is *not modest*." That it is ambiguous is no argument against such a method of definition. Any definition can be misunderstood.

But it might well be asked: are we still to call this "definition"?—For, of course, even if it has the same practical consequences, the same effect on the learner, it plays a different part in the calculus from what we ordinarily call "ostensive definition" of the word "red." [Note added by Wittgenstein.]

31. When one shews someone the king in chess and says: "This is the king," this does not tell him the use of this piece—unless he already knows the rules of the game up to this last point: the shape of the king. You could imagine his having learnt the rules of the game without ever having been shewn an actual piece. The shape of the chessman corresponds here to the sound or shape of a word.

One can also imagine someone's having learnt the game without ever learning or formulating rules. He might have learnt quite simple board-games first, by watching, and have progressed to more and more complicated ones. He too might be given the explanation "This is the king,"—if, for instance, he were being shewn chessmen of a shape he was not used to. This explanation again only tells him the use of the piece because, as we might say, the place for it was already prepared. Or even: we shall only say that it tells him the use, if the place is already prepared. And in this case it is so, not because the person to whom we give the explanation already knows rules, but because in another sense he is already master of a game.

Consider this further case: I am explaining chess to someone; and I begin by pointing to a chessman and saying: "This is the king; it can move like this, . . . and so on."—In this case we shall say: the words "This is the king" (or "This is called the 'King'") are a definition only if the learner already 'knows what a piece in a game is.' That is, if he has already played other games, or has watched other people playing, and understood'—and similar things. Further, only under these conditions will he be able to ask relevantly in the course of learning the game: "What do you call this?"—that is, this piece in a game.

We may say: only someone who already knows how to do something with it can significantly ask a name. And we can imagine the person who is asked replying: "Settle the name yourself"—and now the one who asked would have to manage everything for himself.

32. Someone coming into a strange country will sometimes learn the language of the inhabitants from ostensive definitions that they give him; and he will often have to guess the meaning of these definitions; and will guess sometimes right, sometimes wrong.

And now, I think, we can say: -Augustine describes the learning of human language as if the child came into a strange country and did not understand the language of the country; that is, as if it already had a language, only not this one. Or again: as if the child could already *think*, only not yet speak. And "think" would here mean something like "talk to itself."

33. Suppose, however, someone were to object: "It is not true that you must already be master of a language in order to understand an ostensive definition: all you need—of course I—is to know or guess what the person giving the explanation is pointing to. That is, whether for example to the shape of the object, or to its colour, or to its number, and so on." And what does 'pointing to the shape, pointing to the colour' consist in? Point to a piece of paper—And now point to its shape—now to its colour—now to its number (that sounds queer).—How did you do it?—You will say, that you mean a different thing each time you pointed. And if I ask how that is done, you will say you concentrated your attention on the colour, the shape, etc. But I ask again: how is *'that'* done?

Suppose someone points to a vase and says "Look at that marvelous blue—the shape isn't the point."—Or: "Look at the marvelous shape—the colour doesn't matter." Without doubt you will do something *different* when you act upon these two invitations. But do you always do the *same* thing when you direct your attention to the colour? Imagine various different cases. To indicate a few:

"Is this blue the same as the blue over there? Do you see any difference?"—

You are mixing paint and you say "It's hard to get the blue of this sky."

"It's turning fine; you can already see blue sky again."

"Look what different effects these two blues have."

"Do you see the blue book over there? Bring it here."

"This blue signal-light means. . . ."

"What's this blue called?—is it 'indigo'?"

You sometimes attend to the colour by putting your hand up to keep the outline from view; or by not looking at the outline of the thing; sometimes by staring at the object and trying to remember where you saw that colour before.

You attend to the shape, sometimes by tracing it, sometimes by screwing up your eyes so as not to see the colour clearly, and in many other ways. I want to say: This is the sort of thing that happens while one 'directs' one's attention to this or that.' But it isn't these things by themselves that make us say someone is attending to the shape, the colour, and so on. Just as a move in chess doesn't consist simply in moving a piece in such-and-such a way on the board—not yet in one's thoughts and feelings as one makes the move: but in the circumstances that we call "playing a game of chess," "solving a chess problem," and so on.

34. But suppose someone said: "I always do the same thing when I attend to the shape: my eye follows the outline and I feel. . . ." And suppose this person to give someone else the ostensive definition "That is called a 'circle,'" pointing to a circular object and having all these experiences cannot his hearer still interpret the definition differently, even though he sees the other's eyes following the outline, and even though he feels what the other feels? That is to say: this 'interpretation' may also consist in how he now makes use of the word; in what he points to, for example, when told: "Point to a circle."—For neither the expression "to intend the definition in such-and-such a way" nor the expression "to interpret the definition in such-and-such a way" stands for a process which accompanies the giving and hearing of the definition.

35. There are, of course, what can be called "characteristic experiences" of pointing to (e.g.) the shape. For example, following the outline with one's finger or with one's eyes as one points.—But *this* does not happen in all cases in which I mean the shape, and no more does any other one characteristic process occur in all these cases.—Besides, even if something of the sort did recur in all cases, it would still depend on the circumstances—that is, on what happened before and after the pointing—whether we should say "He pointed to the shape and not to the colour."

For the words "to point to the shape," "to mean the shape," and so on, are not used in the same way as *these*: "to point to this hook (not to that one)," "to point to the chair, not to the table," and so on.—Only think how differently we *learn* the use of the words "to point to this thing," "to point to that thing," and on the other hand "to point to the colour, not the shape," "to mean the colour," and so on.

To repeat: in certain cases, especially when one points 'to the shape' or 'to the number' there are characteristic experiences and ways of pointing—'characteristic' because they recur often (not always) when shape or number are 'meant.' But do you also know of an experience characteristic of pointing to a piece in a game, as *a piece in a game*? All the same one can say: "I mean that this *piece* is called the 'King,' not this particular bit of wood I am pointing to." (Recognizing, wishing, remembering, etc.)

36. And we do here what we do in a host of similar cases: because we cannot specify any *one* bodily action which we call pointing to the shape (as opposed, for example, to the colour), we say that a *spiritual* [mental, intellectual] activity corresponds to these words.

Where our language suggests a body and there is none: there, we should like to say, is a *spirit*.

37. What is the relation between name and thing named?—Well, what *is* it? Look at language-game (2) or at another one: there you can see the sort of thing this relation consists in. This relation may also consist, among many other things, in the fact that hearing the name calls before our mind the picture of what is named; and it also consists, among other things, in the name's being written on the thing named or being pronounced when that thing is pointed at.

38. But what, for example, is the word "this" the name of in language-game (8) or the word "that" in the ostensive definition "that is called . . ."—If you do not want to produce confusion you will do best not to call these words names at all.—Yet, strange to say, the word "this" has been called the only *genuine* name; so that anything else we call a name was one only in an inexact, approximate sense.

This queer conception springs from a tendency to sublime the logic of our language—as one might put it. The proper answer to it is: we call very different things "names"; the word "name" is used to characterize many different kinds of use of a word, related to one another in many different ways;—but the kind of use that "this" has is not among them.

What is it to *mean* the words "*That is blue*" at one time as a statement about the object one is pointing to—at another as an explanation of the word "blue"? Well, in the second case one really means "That is called 'blue.'"—Then can one at one time mean the word "is" as "is called" and the word "blue" as "blue," and another time mean "is" really as "is"?

It is also possible for someone to get an explanation of the words out of what was intended as a piece of information. [Marginal note: Here lurks a crucial superstition.]

Can I say "babububu" and mean "If it doesn't rain I shall go for a walk"?—It is only in a language that I can mean something by something. This shows clearly that the grammar of "to mean" is not like that of the expression "to imagine" and the like. [Note added by Wittgenstein.]

It is quite true that, in giving an ostensive definition for instance, we often point to the object named and say the name. And similarly, in giving an ostensive definition for instance, we say the word "this" while pointing to a thing. And also the word "this" and a name often occupy the same position in a sentence. But it is precisely characteristic of a name that it is defined by means of the demonstrative expression "*That is N*" (or "*That is called N*"). But do we also give the definitions: "That is called this," or "This is called this"?

This is connected with the conception of naming as, so to speak, an occult process. Naming appears as a *queer* connexion of a word with an object.—And you really get such a queer connexion when the philosopher tries to bring out *the* relation between name and thing by staring at an object in front of him and repeating a name or even the word "this" immemorial times. For philosophical problems arise when lan-

guage *goes on holiday*. And here we may indeed fancy naming to be some remarkable act of mind, as it were a baptism of an object. And we can also say the word "this" to the object, as it were *address* the object as "this"—a queer use of this word, which doubtless only occurs in doing philosophy.

39. But why does it occur to one to want to make precisely this word into a name, when it evidently is *not* a name?—That is just the reason. For one is tempted to make an objection against what is ordinarily called a name. It can be put like this: *a name ought really to signify a simple*. And for this one might perhaps give the following reasons: The word "Excalibur," say, is a proper name in the ordinary sense. The sword Excalibur consists of parts combined in a particular way. If they are combined differently Excalibur does not exist. But it is clear that the sentence "Excalibur has a sharp blade" makes sense whether Excalibur is still whole or is broken up. But if "Excalibur" is the name of an object, this object no longer exists when Excalibur is broken in pieces; and as no object would then correspond to the name it would have no meaning. But then the sentence "Excalibur has a sharp blade" would contain a word that had no meaning, and hence the sentence would be nonsense. But it does make sense; so there must always be something corresponding to the words of which it consists. So the word "Excalibur" must disappear when the sense is analysed and its place be taken by words which name simples. It will be reasonable to call these words the real names.

40. Let us first discuss *this* point of the argument: that a word has no meaning if nothing corresponds to it.—It is important to note that the word "meaning" is being used illicitly if it is used to signify the thing that "corresponds" to the word. That is to confound the meaning of a name with the *bearer* of the name. When Mr. N. N. dies one says that the bearer of the name dies, not that the meaning dies. And it would be nonsensical to say that, for if the name ceased to have meaning, it would make no sense to say "Mr. N. N. is dead."

41. In § 15 we introduced proper names into language (8). Now suppose that the tool with the name "N" is broken. Not knowing this, A gives B the sign "N." Has this sign meaning now or not?... What is B to do when he is given it?—We have not settled anything about this. One might ask: what *will* he do? Well, perhaps he will stand there at a loss, or shew A the pieces. Here one *might* say: "N" has become meaningless; and this expression would mean that the sign "N" no longer had a use in our language-game (unless we gave it a new one). "N" might also become meaningless because, for whatever reason, the tool was given another name and the sign "N" no longer used in the language-game.—But we could also imagine a convention whereby B has to shake his head in reply if A gives him the sign belonging to a tool that is broken.—In this way the command "N" might be said to be given a place in the language-game even when the tool no longer exists, and the sign "N" to have meaning even when its bearer ceases to exist.

42. But has for instance a name which has *never* been used for a tool also got a meaning in that game? Let us assume that "X" is such a sign and that A gives this sign to B—well, even such signs could be given a place in the language-game, and B might have, say, to answer them too with a shake of the head. (One could imagine this as a sort of joke between them.)

43. For a *large* class of cases—though not for all—in which we employ the word "meaning" it can be defined thus: the meaning of a word is its use in the language. And the *meaning* of a name is sometimes explained by pointing to its *bearer*.

44. We said that the sentence "Excalibur has a sharp blade" made sense even when Excalibur was broken in pieces. Now this is so because in this language-game a name is also used in the absence of its bearer. But we can imagine a language-game

with names (that is, with signs which we should certainly include among names) in which they are used only in the presence of the bearer; and so could *always* be replaced by a demonstrative pronoun and the gesture of pointing.

45. The demonstrative "this" can never be without a bearer. It might be said: "so long as there is *this*, the word 'this' has a meaning too, whether *this* is simple or complex." But that does not make the word into a name. On the contrary: for a name is not used with, but only explained by means of, the gesture of pointing.

46. What lies behind the idea that names really signify simples?

Socrates says in the *Theaetetus*: "If I make no mistake, I have heard some people say this: there is no definition of the primary elements—so to speak—out of which we and everything else are composed; for everything that exists in its own right can only be *named*, no other determination is possible, neither that it *is* nor that it *is not*..... But what exists in its own right has to be named without any other determination. In consequence it is impossible to give an account of any primary element; for it, nothing is possible but the bare name; its name is all it has. But just as what consists of these primary elements is itself complex, so the names of the elements become descriptive language by being compounded together. For the essence of speech is the composition of names."

Both Russell's 'individuals' and my 'objects' (*Tractatus Logico-Philosophicus*) were such primary elements.

47. But what are the simple constituent parts of which reality is composed?—What are the simple constituent parts of a chair?—The bits of wood of which it is made? Or the molecules, or the atoms?—"Simple" means: not composite. And here the point is: in what sense "composite"? It makes no sense at all to speak absolutely of the simple parts of a chair.

Again: Does my visual image of this chair, consist of parts? And what are its simple component parts? Multi-colouredness is one kind of complexity; another is, for example, that of a broken outline composed of straight bits. And a curve can be said to be composed of an ascending and a descending segment.

If I tell someone without any further explanation: "What I see before me now is composite," he will have the right to ask: "What do you mean by composite?" For there are all sorts of things that that can mean!—The question "Is what you see composite?" makes good sense if it is already established what kind of complexity—that is, which particular use of the word—is in question. If it had been laid down that the visual image of a tree was to be called "composite" if one saw not just a single trunk, but also branches, then the question "What are its simple component parts?" would have a clear sense—a clear use. And of course the answer to the second question is not "The branches" (that would be an answer to the *grammatical* question: "What are here called 'simple component parts'") but rather a description of the individual branches.

But isn't a chessboard, for instance, obviously, and absolutely, composite?—You are probably thinking of the composition out of thirty-two white and thirty-two black squares. But could we not also say, for instance, that it was composed of the colours black and white and the schema of squares? And if there are quite different ways of looking at it, do you still want to say that the chessboard is absolutely 'composite'?—Asking "Is this object composite?" outside a particular language-game is like what a boy once did, who had to say whether the verbs in certain sentences were in the active or passive voice, and who racked his brains over the question whether the verb "to sleep" meant something active or passive.

We use the word "composite" (and therefore the word "simple") in an enormous number of different and differently related ways. (Is the colour of a square on a chess-

board simple, or does it consist of pure white and pure yellow? And is white simple, or does it consist of the colours of the rainbow?—Is this length of 2 cm. simple, or does it consist of two parts, each 1 cm. long? But why not of one bit 3 cm. long, and one bit 1 cm. long measured in the opposite direction?)

To the *philosophical* question: "Is the visual image of this tree composite, and what are its component parts?" the correct answer is: "That depends on what you understand by 'composite'." (And that is of course not an answer but a rejection of the question.)

* * *

65. Here we come up against the great question that lies behind all these considerations.—For someone might object against me: "You take the easy way out! You talk about all sorts of language-games, but have nowhere said what the essence of a language-game, and hence of language, is; what is common to all these activities, and what makes them into language or parts of language. So you let yourself off the very part of the investigation that once gave you yourself most headache, the part about the *general form of propositions and of language*."

And this is true.—Instead of producing something common to all that we call language, I am saying that these phenomena have no one thing in common which makes us use the same word for all,—but that they are *related* to one another in many different ways. And it is because of this relationship, or these relationships, that we call them all "language." I will try to explain this.

66. Consider for example the proceedings that at we call "games." I mean board-games, card-games, ball-games, Olympic games, and so on. What is common to them all?—Don't say: "There *must* be something common, or they would not be called 'games'"—but *look and see* whether there is anything common to all—For if you look at them you will not see something that is common to *all*, but similarities, relationships, and a whole series of them at that. To repeat: don't think, but look!—Look, for example at board-games, with their multifarious relationships. Now pass to card-games; here you find many correspondences with the first group, but many common features drop out, and others appear. When we pass next to ball-games, much that is common is retained, but much is lost.—Are they all "amusing"? Compare chess with noughts and crosses. Or is there always winning and losing, or competition between players? Think of patience. In ball-games there is winning and losing; but when a child throws his ball at the wall and catches it again, this feature has disappeared. Look at the parts played by skill and luck; and at the difference between skill in chess and skill in tennis. Think now of games like ring-a-ring-a-roses; here is the element of amusement, but how many other characteristic features have disappeared! And we can go through the many, many other groups of games in the same way; can see how similarities crop up and disappear.

And the result of this examination is: we see a complicated network of similarities overlapping and criss-crossing: sometimes overall similarities, sometimes similarities of detail.

67. I can think of no better expression to characterize these similarities than "family resemblances"; for the various resemblances between members of a family: build, features, colour of eyes, gait, temperament, etc., etc. overlap and criss-cross in the same way.—And I shall say: "games" form a family.

And for instance the kinds of number form a family in the same way. Why do we call something a "number"? Well, perhaps because it has a—direct—relationship with

several things that have hitherto been called number; and this can be said to give it an indirect relationship to other things we call the same name. And we extend our concept of number as in spinning a thread we twist fibre on fibre. And the strength of the thread does not reside in the fact that some one fibre runs through its whole length, but in the overlapping of many fibres.

But if someone wished to say: "There is something common to all these constructions—namely the disjunction of all their common properties"—I should reply: Now you are only playing with words. One might as well say: "Something runs through the whole thread—namely the continuous overlapping of those fibres."

68. "All right: the concept of number is defined for you as the logical sum of these individual interrelated concepts: cardinal numbers, rational numbers, real numbers, etc.; and in the same way the concept of a game as the logical sum of a corresponding set of sub-concepts."—It need not be so. For I *can* give the concept "number" rigid limits in this way, that is, use the word "number" for a rigidly limited concept, but I can also use it so that the extension of the concept is *not* closed by a frontier. And this is how we do use the word "game." For how is the concept of a game bounded? What still counts as a game and what no longer does? Can you give the boundary? No. You can *draw* one; for none has so far been drawn. (But that never troubled you before when you used the word "game.")

"But then the use of the word is unregulated, the 'game' we play with it is unregulated."—It is not everywhere circumscribed by rules; but no more are there any rules for how high one throws the ball in tennis, or how hard; yet tennis is a game for all that and has rules too.

69. How should we explain to someone what a game is? I imagine that we should describe *games* to him, and we might add: "This and similar things are called 'games'." And do we know any more about it ourselves? Is it only other people whom we cannot tell exactly what a game is?—But this is not ignorance. We do not know the boundaries because none have been drawn. To repeat, we can draw a boundary—for a special purpose. Does it take that to make the concept usable? Not at all! (Except for that special purpose.) No more than it took the definition: 1 pace = 75 cm to make the measure of length 'one pace' usable. And if you want to say "But still, before that it wasn't an exact measure," then I reply: very well, it was an inexact one.—Though you still owe me a definition of exactness.

70. "But if the concept 'game' is uncircumscribed like that, you don't really know what you mean by a 'game'."—When I give the description: "The ground was quite covered with plants"—do you want to say I don't know what I am talking about until I can give a definition of a plant? My meaning would be explained by, say, a drawing and the words "The ground looked roughly like this." Perhaps I even say "it looked *exactly* like this."—Then were just *this* grass and *these* leaves there, arranged just like this? No, that is not what it means. And I should not accept any picture as exact in *this* sense.

Someone says to me: "Show the children a game." I teach them gaming with dice, and the other says "I didn't mean that sort of game." Must the exclusion of the game with dice have come before his mind when he gave me the order? [Note added by Wittgenstein.]

71. One might say that the concept 'game' is a concept with blurred edges.—"But is a blurred concept a concept at all?"—Is an indistinct photograph a picture of a person at all? Is it even always an advantage to replace an indistinct picture by a sharp one? Isn't the indistinct one often exactly what we need?
 Frege compares a concept to an area and says that an area with vague boundaries cannot be called an area at all. This presumably means that we cannot do anything with it.—But is it senseless to say: "Stand roughly there"? Suppose that I were standing with someone in a city square and said that. As I say it I do not draw any kind of boundary, but perhaps point with my hand—as if I were indicating a particular spot. And this is just how one might explain to someone what a game is. One gives examples and intends them to be taken in a particular way.—I do not, however, mean by this that he is supposed to see in those examples that common thing which I—for some reason—was unable to express; but that he is now to employ those examples in a particular way. Here giving examples is not an *indirect* means of explaining—in default of a better. For any general definition can be misunderstood too. The point is that *this* is how we play the game. (I mean the language-game with the word "game".)

* * *

241. "So you are saying that human agreement decides what is true and what is false?"—It is what human beings' say that is true and false; and they agree in the *language* they use. That is not agreement in opinions but in form of life.

* * *

257. "What would it be like if human beings shewed no outward signs of pain (did not groan, grimace, etc.)? Then it would be impossible to teach a child the use of the word 'tooth-ache'."—Well, let's assume the child is a genius and itself invents a name for the sensation!—But then, of course, he couldn't make himself understood when he used the word.—So does he understand the name, without being able to explain its meaning to anyone?—But what does it mean to say that he has 'named his pain'?—How has he done this naming of pain? And whatever he did, what was its purpose?—When one says "He gave a name to his sensation" one forgets that a great deal of stage-setting in the language is presupposed if the mere act of naming is to make sense. And when we speak of someone's having given a name to pain, what is presupposed is the existence of the grammar of the word "pain"; it shews the post where the new word is stationed.

258. Let us imagine the following case. I want to keep a diary about the recurrence of a certain sensation. To this end I associate it with the sign "S" and write this sign in a calendar for every day on which I have the sensation. I will remark first of all that a definition of the sign cannot be formulated. But still I can give myself a kind of ostensive definition.—How? Can I point to the sensation? Not in the ordinary sense. But I speak, or write the sign down, and at the same time I concentrate my attention on the sensation—and so, as it were, point to it inwardly.—But what is this ceremony for? for that is all it seems to be! A definition surely serves to establish the meaning of a sign.—Well, that is done precisely by the concentrating of my attention; for in this way I impress on myself the connexion between the sign and the sensation.—But "I impress it on myself" can only mean: this process brings it about that I remember the connexion *right* in the future. But in the present case I have no criterion of correctness. One would like to say: whatever is going to seem right to me is right. And that only means that here we can't talk about 'right'.

305. "But you surely cannot deny that, for example, in remembering, an inner process takes place."—What gives the impression that we want to deny anything? When one says "Still, an inner process does take place here—one wants to go on." After all, You *see* it! And it is this inner process that one means by the word "remembering." The impression that we wanted to deny something arises from our setting our faces against the picture of the 'inner process. What we deny is that the picture of the inner process gives us the correct idea of the use of the word "to remember." We say that this picture with its ramifications stands in the way of our seeing the use of the word as it is.

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309. What is your aim in philosophy?—To shew the fly the way out of the fly-bottle.

Tim O'Brien (American; b. 1947)

The Things They Carried

First Lieutenant Jimmy Cross carried letters from a girl named Martha, a junior at Mount Sebastian College in New Jersey. They were not love letters, but Lieutenant Cross was hoping, so he kept them folded in plastic at the bottom of his rucksack. In the late afternoon, after a day's march, he would dig his foxhole, wash his hands under a canteen, unwrap the letters, hold them with the tips of his fingers, and spend the last hour of fight pretending. He would imagine romantic camping trips into the White Mountains in New Hampshire. He would sometimes taste the envelope flaps, knowing her tongue had been there. More than anything, he wanted Martha to love him as he loved her, but the letters were mostly chatty, elusive on the matter of love. She was a virgin, he was almost sure. She was an English major at Mount Sebastian, and she wrote beautifully about her professors and roommates and midterm exams, about her respect for Chaucer and her great affection for Virginia Woolf. She often quoted lines of poetry; she never mentioned the war, except to say, Jimmy, take care of yourself. The letters weighed ten ounces. They were signed "Love, Martha," but Lieutenant Cross understood that Love was only a way of signing and did not mean what he sometimes pretended it meant. At dusk, he would carefully return the letters to his rucksack. Slowly, a bit distracted, he would get up and move among his men, checking the perimeter, then at full dark he would return to his hole and watch the night and wonder if Martha was a virgin.

The things they carried were largely determined by necessity. Among the necessities or near-necessities were P-38 can openers, pocket knives, heat tabs, wrist watches, dog tags, mosquito repellent, chewing gum, candy, cigarettes, salt tablets, packets of Kool-Aid, lighters, matches, sewing kits, Military payment Certificates, C rations, and two or three canteens of water. Together, these items weighed between fifteen and twenty pounds, depending upon a man's habits or rate of metabolism. Henry Dobbins, who was a big man, carried extra rations; he was especially fond of canned peaches in heavy syrup over pound cake. Dave Jensen, who practiced field hygiene, carried a toothbrush, dental floss, and several hotel-size bars of soap he'd stolen on R&R in Sydney, Australia. Ted Lavender, who was scared, carried tranquilizers until he was shot in the head outside the village of Than Khe in mid-April. By necessity, and because it was SOP, they all carried steel helmets that weighed five pounds including the liner aid camouflage cover. They carried the standard fatigue jackets and trousers. Very few carried underwear. On their feet they carried jungle boots-2.1 pounds - and Dave Jensen carried three pairs of socks and a can of Dr. Scholl's foot powder as a precaution against trench foot. Until he was shot, Ted Lavender carried six or seven ounces of premium dope, which for him was 2 necessity. Mitchell Sanders, the RT0, carried condoms. Norman Bowker carried a diary. Rat Kiley carried comic books. Kiowa, a devout Baptist, Carried an illustrated New Testament that had been presented to him by his father, who taught Sunday school in Oklahoma City, Oklahoma. As a hedge against bad times, however, Kiowa also carried his grandmother's distrust of the white man, his grandfather's old hunting hatchet. Necessity dictated. Because the land was mined and booby-trapped, it was SOP for each man to carry a steel-centered, nylon-covered flak jacket, which weighed 6.7 pounds, but which on hot days seemed much heavier. Because you could die so quickly, each man carried at least one large compress bandage, usually in the helmet band for easy access. Because the nights were cold, and because the monsoons were wet, each carried a green plastic poncho that could be used as a raincoat or groundsheet or makeshift tent. With its quilted liner, the poncho weighed almost two pounds, but it was worth every ounce. In April, for instance, when Ted Lavender was shot, they used his poncho to wrap him up, then to carry him across the paddy, then to lift him into the chopper that took him away.

They were called legs or grunts.

To carry something was to "hump" it, as when Lieutenant Jimmy Cross humped his love for Martha up the hills and through the swamps. In its intransitive form, "to hump," meant "to walk," or "to march," but it implied burdens far beyond the intransitive.

Almost everyone humped photographs. In his wallet, Lieutenant Cross carried two photographs of Martha. The first was a Kodachrome snapshot signed "Love," though he knew better. She stood against a brick wall. Her eyes were gray and neutral, her lips slightly open as she stared straight-on at the

camera. At night, sometimes, Lieutenant Cross wondered who had taken the picture, because he knew she had boyfriends, because he loved her so much, and because he could see the shadow of the picture taker spreading out against the brick wall. The second photograph had been clipped from the 1968 Mount Sebastian yearbook. It was an action shot-women's volleyball-and Martha was bent horizontal to the floor, reaching, the palms of her hands in sharp focus, the tongue taut, the expression frank and competitive. There was no visible sweat. She wore white gym shorts. Her legs, he thought, were almost certainly the legs of a virgin, dry and without hair, the left knee cocked and carrying her entire weight, which was just over one hundred pounds. Lieutenant Cross remembered touching that left knee. A dark theater, he remembered, and the movie was *Bonnie and Clyde*, and Martha wore a tweed skirt, and during the final scene, when he touched her knee, she turned and looked at him in a sad, sober way that made him pull his hand back, but he would always remember the feel of the tweed skirt and the knee beneath it and the sound of the gunfire that killed Bonnie and Clyde, how embarrassing it was, how slow and oppressive. He remembered kissing her goodnight at the dorm door. Right then, he thought, he should've done something brave. He should've carried her up the stairs to her room and tied her to the bed and touched that left knee all night long. He should've risked it. Whenever he looked at the photographs, he thought of new things he should've done.

What they carried was partly a function of rank, partly of field specialty.

As a first lieutenant and platoon leader, Jimmy Cross carried a compass, maps, code books, binoculars, and a .45-caliber pistol that weighed 2.9 pounds fully loaded. He carried a strobe light and the responsibility for the lives of his men.

As an RTO, Mitchell Sanders carried the PRC-25 radio, a killer, twenty-six pounds with its battery.

As a medic, Rat Kiley carried a canvas satchel filled with morphine and plasma and malaria tablets and surgical tape and comic books and all the things a medic must carry, including M&M's for especially bad wounds, for a total weight of nearly twenty pounds.

As a big man, therefore a machine gunner, Henry Dobbins carried the M-60, which weighed twenty-three pounds unloaded, but which was almost always loaded. In addition, Dobbins carried between ten and fifteen pounds of ammunition draped in belts across his chest and shoulders.

As PFCs or Spec 4s, most of them were common grunts and carried the standard M-16 gas-operated assault rifle. The weapon weighed 7.5 pounds unloaded, 8.2 pounds with its full twenty-round magazine. Depending on numerous factors, such as topography and psychology, the riflemen carried anywhere from twelve to twenty magazines, usually in cloth bandoliers, adding on another 8.4 pounds at minimum, fourteen pounds at maximum. When it was available, they also carried M-16 maintenance gear - rods and steel brushes and swabs and tubes of LSA oil - all of which weighed about 2 pounds. Among the grunts, some carried the M-79 grenade launcher, 5.9 pounds unloaded, a reasonably light weapon except for the ammunition, which was heavy. A single round weighed ten ounces. The typical load was twenty-five rounds. But Ted Lavender, who was scared, carried thirty-four rounds when he was shot and killed outside Than Khe, and he went down under an exceptional burden, more than twenty pounds of ammunition, plus the flak jacket and helmet and rations and water and toilet paper and tranquilizers and all the rest, plus the unweighed fear. He was dead weight. There was no twitching or flopping. Kiowa, who saw it happen, said it was like watching a rock fall, or a big sandbag or something -just boom, then down - not like the movies where the dead guy rolls around and does fancy spins and goes ass over teakettle -not like that, Kiowa said, the poor bastard just flat-fuck fell. Boom. Down. Nothing else. It was a bright morning in mid-April. Lieutenant Cross felt the pain. He blamed himself. They stripped off Lavender's canteens and ammo, all the heavy things, and Rat Kiley said the obvious, the guy's dead, and Mitchell Sanders used his radio to report one U.S. KIA and to request a chopper. Then they wrapped Lavender in his poncho. They carried him out to a dry paddy, established security, and sat smoking the dead man's dope until the chopper came. Lieutenant Cross kept to himself. He pictured Martha's smooth young face, thinking he loved her more than anything, more than his men, and now Ted Lavender was dead because he loved her so much and could not stop thinking about her. When the dust-off arrived, they carried Lavender aboard. Afterward they burned Than Khe. They marched

until dusk, then dug their holes, and that night Kiowa kept explaining how you had to be them how fast it was, how the poor guy just dropped like so much concrete, Boom-down, he said. Like cement.

In addition to the three standard weapons-the M-60, M-16, and M-79-they carried whatever presented itself, or whatever seemed appropriate as a means of killing or staying alive. They carried catch-as-catch can. At various times, in various situations, they carried M-14's and CAR-15's and Swedish K's and grease guns and captured AK-47s and ChiCom's and RPG's and Simonov carbines and black-market Uzi's and .38-caliber Smith & Wesson handguns and 66 mm LAW's and shotguns and silencers and blackjack and bayonets and C-4 plastic explosives. Lee Strunk carried a slingshot; a weapon of last resort, he called it. Mitchell Sanders carried brass knuckles. Kiowa carried his grandfather's feathered hatchet. Every third or fourth man carried a Claymore antipersonnel mine-3.5 pounds with its firing device. They all carried fragmentation grenades-fourteen ounces each. They all carried at least one M-18 colored smoke grenade- twenty-four ounces. Some carried CS or tear-gas grenades. Sonic carried white-phosphorus grenades. They carried all they could bear, and then some, including a silent awe for the terrible power of the things they carried.

In the first week of April, before Lavender died, Lieutenant Jimmy Cross received a good-luck charm from Martha. It was a simple pebble. An ounce at most. Smooth to the touch, it was a milky-white color with flecks of orange and violet, oval-shaped, like a miniature egg. In the accompanying letter, Martha wrote that she had found the pebble on the Jersey shoreline, precisely where the land touched water at high tide, where things came together but also separated. It was this separate-but-together quality, she wrote, that had inspired her to pick up the pebble and to carry it in her breast pocket for several days, where it seemed weightless, and then to send it through the mail, by air, as a token of her truest feelings for him. Lieutenant Cross found this romantic. But he wondered what 'her truest feelings were, exactly, and what she meant by separate-but-together. He wondered how the tides and waves had come into play on that afternoon along the Jersey shoreline when Martha saw the pebble and, bent down to rescue it from geology. He imagined bare feet. Martha was a poet, with the poet's sensibilities, and her feet would be brown and bare the toenails unpainted, the eyes chilly and somber like the ocean in March, and though it was painful, he wondered who had been with her that afternoon. He imagined a pair of shadows moving along the strip of sand where things came together but also separated. It was phantom jealousy, he knew, but he couldn't help himself. He loved her so much. On the march, through the hot days of early April, he carried the pebble in his mouth, turning it with his tongue, tasting sea salts and moisture. His mind wandered. He had difficulty keeping his attention on the war. On occasion he would yell at his men to spread out the column, to keep their eyes open, but then he would slip away into daydreams, just pretending, walking barefoot along the Jersey shore, with Martha, carrying nothing. He would feel himself rising. Sun and waves and gentle winds, all love and lightness.

What they carried varied by mission.

When a mission took them to the mountains, they carried mosquito netting, machetes, canvas tarps, and extra bugjuice.

If a mission seemed especially hazardous, or if it involved a place they knew to be bad, they carried everything they could. In certain heavily mined AO's, where the land was dense with Toe Poppers and Bouncing Betties, they took turns humping a twenty-eight-pound mine detector. With its headphones and big sensing plate, the equipment was a stress on the lower back and shoulders, awkward to handle, often useless because of the shrapnel in the earth, but they carried it anyway, partly for safety, partly for the illusion of safety.

On ambush, or other night missions, they carried peculiar little odds and ends. Kiowa always took along his New Testament and a pair of moccasins for silence. Dave Jensen carried night-sight vitamins high in carotene. Lee Strunk carried his slingshot; ammo, he claimed, would never be a problem. Rat Kiley carried brandy and M&M's. Until he was shot, Ted Lavender carried the starlight scope, which weighed 63 pounds with its aluminum carrying case. Henry Dobbins carried his girlfriend's panty hose wrapped around his neck as a comforter. They all carried ghosts. When dark came, they would move out single

file across the meadows and paddies to their ambush coordinates, where they would quietly set up the Claymores and lie down and spend the night waiting.

Other missions were more complicated and required special equipment. In mid-April, it was their mission to search out and destroy the elaborate tunnel complexes in the Than Khe area south of Chu Lai. To blow the tunnels, they carried one-pound blocks of pentrite high explosives; four blocks to a man, sixty-eight pounds in all. They carried wiring, detonators, and battery-powered clackers. Dave Jensen carried earplugs. Most often, before blowing the tunnels, they were ordered by higher command to search them, which was considered bad news, but by and large they just shrugged and carried out orders. Because he was a big man, Henry Dobbins was excused from tunnel duty. The others would draw numbers. Before Lavender died there were seventeen men in the platoon, and whoever drew the number seventeen would strip off his gear and crawl in headfirst with a flashlight and Lieutenant Cross's .45-caliber pistol. The rest of them would fan out as security. They would sit down or kneel, not facing the hole, listening to the ground beneath them, imagining cobwebs and ghosts, whatever was down there-the tunnel walls squeezing in-how the flashlight seemed impossibly heavy in the hand and how it was tunnel vision in the very strictest sense, compression in all ways, even time, and how you had to wiggle in-ass and elbows-a swallowed-up feeling-and how you found yourself worrying about odd things-will your flashlight go dead? Do rats carry rabies? If you screamed, how far would the sound carry? Would your buddies hear it? Would they have the courage to drag you out? In some respects, though not many, the waiting was worse than the tunnel itself. Imagination was a killer.

On April 16, when Lee Strunk drew the number seventeen, he laughed and muttered something and went down quickly. The morning was hot and very still. Not good, Kiowa said. He looked at the tunnel opening, then out across a dry paddy toward the village of Than Khe. Nothing moved. No clouds or birds or people. As they waited, the men smoked and drank Kool-Aid, not talking much, feeling sympathy for Lee Strunk but also feeling the luck of the draw, You win some, you lose some, said Mitchell Sanders, and sometimes you settle for a rain check. It was a tired line and no one laughed.

Henry Dobbins ate a tropical chocolate bar. Ted Lavender popped a tranquilizer and went off to pee. After five minutes, Lieutenant Jimmy Cross moved to the tunnel, leaned down, and examined the darkness. Trouble, he thought-a cave-in maybe. And then suddenly, without willing it, lie was thinking about Martha. The stresses and fractures, the quick collapse, the two of them buried alive under all that weight. Dense, crushing love. Kneeling, watching the hole, he tried to concentrate on Lee Strunk and the war, all the dangers, but his love was too much for him, he felt paralyzed, he wanted to sleep inside her lungs and breathe- her blood and be smothered. He wanted her to be a virgin and not a virgin, all at once. He wanted to know her. Intimate secrets-why poetry? Why so sad? Why that grayness in her eyes? Why so alone? Not lonely, just alone -riding her bike across campus or sitting off by herself in the cafeteria. Even dancing, she danced alone - and it was the aloneness that filled him with love. He remembered telling her that one evening. How she nodded and looked away. And how, later, when he kissed her. She received the kiss without returning it, her eyes wide open, not afraid, not a virgin's eyes, just flat and uninvolving.

Lieutenant Cross gazed at the tunnel. But he was not there. He was buried with Martha under the white sand at the Jersey shore. They were pressed together, and the pebble in his mouth was her tongue. He was smiling. Vaguely, he was aware of how quiet the day was; the sullen paddies, yet he could not bring himself to worry about matters of security. He was beyond that. He was just a kid at war, in love. He was twenty two years old. He couldn't help it.

A few moments later Lee Strunk crawled out of the tunnel. He came up grinning, filthy but alive. Lieutenant Cross nodded and closed his eyes while the others clapped Strunk on the back and made jokes about rising from the dead.

Worms, Rat Kiley said. Right out of the grave. Fuckin' zombie.

The men laughed. They all felt great relief.

Spook City, said Mitchell Sanders.

Lee Strunk made a funny ghost sound, a kind of moaning, yet very happy, and fight then, when Strunk made that high happy moaning sound, when he went Ahooooo, right then Ted Lavender was shot in the head on his way back from peeing. He lay with his mouth open. The teeth were broken. There was a swollen black bruise under his left eye. The cheekbone was gone. Oh shit, Rat Kiley said, the guy's dead. The guy's dead, he kept saying, which seemed profound -the guy's dead. I mean really.

The things they carried were determined to some extent by superstition. Lieutenant Cross carried his good-luck pebble. Dave Jensen carried a rabbit's foot. Norman Bowker, other-wise a very gentle person, carried a thumb that had been presented to him as a gift by Mitchell Sanders. The thumb was dark brown, rubbery to the touch, and weighed four ounces at most. It had been cut from a VC corpse, a boy of fifteen or sixteen. They'd found him at the bottom of an irrigation ditch, badly burned, flies in his mouth and eyes. The boy wore black shorts and sandals. At the time of his death he had been carrying a pouch of rice, a rifle, and three magazines of ammunition.

You want my opinion, Mitchell Sanders said, there's a definite moral here.

He put his hand oil the dead boy's wrist. He was quiet for a time, as if counting a pulse, then he patted the stomach, almost affectionately, and used Kiowa's hunting hatchet to remove the thumb.

Henry Dobbins asked what the moral was.

Moral?

You know- *Moral*.

Sanders wrapped the thumb in toilet paper and handed it across to Norman Bowker. There was no blood. Smiling, he kicked the boy's head, watched the files scatter, and said, It's like with that old TV show - Paladin. Have gun, will travel.

Henry Dobbins thought about it.

Yeah, well, he finally said. I don't see no moral.

There it is, man.

Fuck off.

They carried USO stationery and pencils and pens. They carried Sterno, safety pins, trip flares, signal flares, spools of wire, razor blades, chewing tobacco, liberated joss sticks and statuettes of the sniffing Buddha, candles, grease pencils, The Stars and Stripes, fingernail clippers, Psy Ops leaflets, bush hats, bolos, and much more. Twice a week, when the resupply choppers came in, they carried hot chow in green Mermite cans and large canvas bags filled with iced beer and soda pop. They carried plastic water containers, each with a two gallon capacity. Mitchell Sanders carried a set of starched tiger fatigues for special occasions. Henry Dobbins carried Black Flag insecticide. Dave Jensen carried empty sandbags that could be filled at night for added protection. Lee Strunk carried tanning lotion. Some things they carried in common. Taking turns, they carried the big PRC-77 scrambler radio, which weighed thirty pounds with its battery. They shared the weight of memory. They took up what others could no longer bear. Often, they carried each other, the wounded or weak. They carried infections. They carried chess sets, basketballs, Vietnamese English dictionaries, insignia of rank, Bronze Stars and Purple Hearts, plastic cards imprinted with the Code of Conduct. They carried diseases, among them malaria and dysentery. They carried lice and ringworm and leeches and paddy algae and various rots and molds. They carried the land itself. Vietnam, the place, the sod -a powdery orange-red dust that covered their boots and fatigues and faces. They carried the sky. The whole atmosphere, they carried it, the humidity,

the monsoons, the stink of fungus and decay, all of it, they carried gravity. They moved like mules. By daylight they took sniper fire, at night they were mortared, but it was not battle, it was just the endless march, village to village, without purpose, nothing won or lost. They marched for the sake of the march. They plodded along slowly, dumbly, leaning forward against the heat, unthinking, all blood and bone, simple grunts, soldiering with their legs, toiling up the hills and down into the paddies and across the rivers and up again and down, just humping, one step and then the next and then another, but no volition, no will, because it was automatic, it was anatomy, and the war was entirely a matter of posture and carriage, the hump was everything, a kind of inertia, a kind of emptiness, a dullness of desire and intellect and conscience and hope and human sensibility. Their principles were in their feet. Their calculations were biological. They had no sense of strategy or mission. They searched the villages without knowing what to look for, nor caring, kicking over jars of rice, frisking children and old men, blowing tunnels, sometimes setting fires and sometimes not, then forming up and moving on to the next village, then other villages, where it would always be the same. They carried their own lives. The pressures were enormous. In the heat of early afternoon, they would remove their helmets and flak jackets, walking bare, which was dangerous but which helped ease the strain. They would often discard things along the route of march. Purely for comfort, they would throw away rations, blow their Claymores and grenades, no matter, because by nightfall the resupply choppers would arrive with more of the same, then a day or two later still more, fresh watermelons and crates of ammunition and sunglasses and woolen sweaters-the resources were stunning -sparklers for the Fourth of July, colored eggs for Easter. It was the great American war chest-the fruits of sciences, the smokestacks, the canneries, the arsenals at Hartford, the Minnesota forests, the machine shops, the vast fields of corn and wheat they carried like freight trains; they carried it on their backs and shoulders-and for all the ambiguities of Vietnam, all the mysteries and unknowns, there was at least the single abiding certainty that they would never be at a loss for things to carry.

After the chopper took Lavender away, Lieutenant Jimmy Cross led his men into the village of Than Khe. They burned everything. They shot chickens and dogs, they trashed the village well, they called in artillery and watched the wreckage, then they marched for several hours through the hot afternoon, and then at dusk, while Kiowa explained how Lavender died, Lieutenant Cross found himself trembling.

He tried not to cry. With his entrenching tool, which weighed five pounds, he began digging a hole in the earth.

He felt shame. He hated himself. He had loved Martha more than his men, and as a consequence Lavender was now dead, and this was something he would have to carry like a stone in his stomach for the rest of the war.

All he could do was dig. He used his entrenching tool like an ax, slashing, feeling both love and hate, and then later, when it was full dark, he sat at the bottom of his foxhole and wept. It went on for a long while. In part, he was grieving for Ted Lavender, but mostly it was for Martha, and for himself, because she belonged to another world, which was not quite real, and because she was a junior at Mount Sebastian College in New Jersey, a poet and a virgin and uninvolved, and because he realized she did not love him and never would.

Like cement, Kiowa whispered in the dark. I swear to God - boom-down. Not a word.
I've heard this, said Norman Bowker.

A pisser, you know? Still zipping himself up. Zapped while zipping.

All right, fine. That's enough.

Yeah, but you had to see it, the guy just

I heard, man. Cement. So why not shut the fuck up?

Kiowa shook his head sadly and glanced over at the hole where Lieutenant Jimmy Cross sat watching the night. The air was thick and wet. A warm, dense fog had settled over the paddies and there was the stillness that precedes rain.

After a time Kiowa sighed.

One thing for sure, he said. The lieutenant's in some deep hurt. I mean that crying jag - the way he was carrying on - it wasn't fake or anything, it was real heavy-duty hurt. The man cares.

Sure, Norman Bowker said.

Say what you want, the man does care.

We all got problems.

Not Lavender.

No, I guess not, Bowker said. Do me a favor, though.

Shut up?

That's a smart Indian. Shut up.

Shrugging, Kiowa pulled off his boots. He wanted to say more, just to lighten up his sleep, but instead he opened his New Testament and arranged it beneath his head as a pillow. The fog made things seem hollow and unattached. He tried not to think about Ted Lavender, but then he was thinking how fast it was, no drama, down and dead, and how it was hard to feel anything except surprise. It seemed unchristian. He wished he could find some great sadness, or even anger, but the emotion wasn't there and he couldn't make it happen. Mostly he felt pleased to be alive. He liked the smell of the New Testament under his cheek, the leather and ink and paper and glue, whatever the chemicals were. He liked hearing the sounds of night. Even his fatigue, it felt fine, the stiff muscles and the prickly awareness of his own body, a floating feeling. He enjoyed not being dead. Lying there, Kiowa admired Lieutenant Jimmy Cross's capacity for grief. He wanted to share the man's pain, he wanted to care as Jimmy Cross cared. And yet when he closed his eyes, all he could think was Boon-down, and all he could feel was the pleasure of having his boots off and the fog curling in around him and the damp soil and the Bible smells and the plush comfort of night.

After a moment Norman Bowker sat up in the dark.

What the hell, he said. You want to talk, *talk*. Tell it to me.

Forget it.

No, man, go on. One thing I hate, it's a silent Indian.

For the most part they carried themselves with poise, a kind of dignity. Now and then, however, there were times of panic, when they squealed or wanted to squeal but couldn't. When they twitched and made moaning sounds and covered their heads and said Dear Jesus and flopped around on the earth and fired their weapons blindly and cringed and sobbed and begged for the noise to stop and went wild and made stupid promises to themselves and to God and to their mothers and fathers, hoping not to die. In different ways, it happened to all of them. Afterward, when the firing ended, they would blink and peek up. They would touch their bodies, feeling shame, then quickly hiding it. They would force themselves to stand. As if in slow motion, frame by frame, the world would take on the old logic-absolute silence, then the wind, then sunlight, then voices. It was the burden of being alive. Awkwardly, the men would reassemble themselves, first in private, then in groups, becoming soldiers again. They would repair the

leaks in their eyes. They would check for casualties, call in dust-offs, light cigarettes, try to smile, clear their throats and spit and begin cleaning their weapons. After a time someone would shake his head and say, No lie, I almost shit my pants, and someone else would laugh, which meant it was bad, yes, but the guy had obviously not shit his pants, it wasn't that bad, and in any case nobody would ever do such a thing and then go ahead and talk about it. They would squint into the dense, oppressive sunlight. For a few moments, perhaps, they would fall silent, lighting a joint and tracking its passage from man to man, inhaling, holding in the humiliation. Scary stuff, one of them might say. But then someone else would grin or flick his eyebrows and say, Roger-dodger, almost cut me a new asshole, *almost*.

There were numerous such poses. Some carried themselves with a sort of wistful resignation, others with pride or stiff soldierly discipline or good humor or macho zeal. They were afraid of dying but they were even more afraid to show it.

They found jokes to tell.

They used a hard vocabulary to contain the terrible softness. *Greased*, they'd say. *Offed, lit up, zapped while zipping*. It wasn't cruelty, just stage presence. They were actors and the war came at them in 3-D. When someone died, it wasn't quite dying, because in a curious way it seemed scripted, and because they had their fines mostly memorized, irony mixed with tragedy, and because they called it by other names, as if to encyst and destroy the reality of death itself. They kicked corpses. They cut off thumbs. They talked grunt lingo. They told stories about Ted Lavender's supply of tranquilizers, how the poor guy didn't feel a thing, how incredibly tranquil he was.

There's a moral here, said Mitchell Sanders.

They were waiting for Lavender's chopper, smoking the dead man's dope. The moral's pretty obvious, Sanders said, and winked. Stay away from drugs. No joke, they'll ruin your day every time.

Cute, said Henry Dobbins.

Mind-blower, get it? Talk about wiggy- nothing left, just blood and brains.

They made themselves laugh.

There it is, they'd say, over and over, as if the repetition itself were an act of poise, a balance between crazy and almost crazy, knowing without going. There it is, which meant be cool, let it ride, because oh yeah, man, you can't change what can't be changed, there it is, there it absolutely and positively and fucking well is.

They were tough.

They carried all the emotional baggage of men who might die. Grief, terror, love, longing -these were intangibles, but the intangibles had their own mass and specific gravity, they had tangible weight. They carried shameful memories. They carried the common secret of cowardice barely restrained, the instinct to run or freeze or hide, and in many respects this was the heaviest burden of all, for it could never be put down, it required perfect balance and perfect posture. They carried their reputations. They carried the soldier's greatest fear, which was the fear of blushing. Men killed, and died, because they were embarrassed not to. It was what had brought them to the war in the first place, nothing positive, no dreams of glory or honor, just to avoid the blush of dishonor. They died so as not to die of embarrassment. They crawled into tunnels and walked point and advanced under fire. Each morning, despite the unknowns, they made their legs move. They endured. They kept humping. They did not submit to the obvious alternative, which was simply to close the eyes and fall. So easy, really. Go limp and tumble to the ground and let the muscles unwind and not speak and not budge until your buddies picked you up and lifted you into the chopper that would roar and dip its nose and carry you off to the

world. A mere matter of falling, yet no one ever fell. It was not courage, exactly; the object was not valor. Rather, they were too frightened to be cowards.

By and large they carried these things inside, maintaining the masks of composure. They sneered at sick call. They spoke bitterly about guys who had found release by shooting off their own toes or fingers. Pussies, they'd say. Candyasses. It was fierce, mocking talk, with only a trace of envy or awe, but even so, the image played itself out behind their eyes.

They imagined the muzzle against flesh. They imagined the quick, sweet pain, then the evacuation to Japan, then a hospital with warm beds and cute geisha nurses.

They dreamed of freedom birds.

At night, on guard, staring into the dark, they were carried away by jumbo jets. They felt the rush of takeoff *Gone!* they yelled. And then velocity, wings and engines, a smiling stewardess—but it was more than a plane, it was a real bird, a big sleek silver bird with feathers and talons and high screeching. They were flying. The weights fell off; there was nothing to bear. They laughed and held on tight, feeling the cold slap of wind and altitude, soaring, thinking *It's over, I'm gone!*—they were naked. They were light and free—it was all lightness, bright and fast and buoyant, light as light, a helium buzz in the brain, a giddy bubbling in the lungs as they were taken up over the Clouds and the war, beyond duty, beyond gravity and mortification anti global entanglements *-Sin loi!* They yelled, *I'm sorry, motherfuckers, but I'm out of it, I'm goofed, I'm on a space cruise, I'm gone!*—and it was a restful, disencumbered sensation, just riding the fight waves, sailing; that big silver freedom bird over the mountains and oceans, over America, over the farms and great sleeping cities and cemeteries and highways and the Golden Arches of McDonald's. It was flight, a kind of fleeing, a kind of falling, falling higher and higher, spinning off the edge of the earth and beyond the sun and through the vast, silent vacuum where there were no burdens and where everything weighed exactly nothing. *Gone!* they screamed, *I'm sorry but I'm gone!* And so at night, not quite dreaming, they gave themselves over to lightness, they were carried, they were purely borne.

On the morning after Ted Lavender died, First Lieutenant Jimmy Cross crouched at the bottom of his foxhole and burned Martha's letters. Then he burned the two photographs. There was a steady rain falling, which made it difficult, but he used heat tabs and Sterno to build a small fire, screening it with his body, holding the photographs over the tight blue flame with the tips of his fingers.

He realized it was only a gesture. Stupid, he thought. Sentimental, too, but mostly just stupid. Lavender was dead. You couldn't burn the blame.

Besides, the letters were in his head. And even now, without photographs, Lieutenant Cross could see Martha playing volleyball in her white gym shorts and yellow T-shirt. He could see her moving in the rain.

When the fire died out, Lieutenant Cross pulled his poncho over his shoulders and ate breakfast from a can.

There was no great mystery, he decided.

In those burned letters Martha had never mentioned the war, except to say, Jimmy take care of yourself. She wasn't involved. She signed the letters "Love," but it wasn't love, and all the fine lines and technicalities did not matter.

The morning came up wet and blurry. Everything seemed part of everything else, the fog and Martha and the deepening rain.

It was a war, after all.

Half smiling, Lieutenant Jimmy Cross took out his maps. He shook his head hard, as if to clear it, then bent forward and began planning the day's march. In ten minutes, or maybe twenty, he would rouse the men and they would pack up and head west, where the maps showed the country to be green and inviting. They would do what they had always done. The rain might add some weight, but otherwise it would be one more day layered upon all the other days.

He was realistic about it. There was that new hardness in his stomach.

No more fantasies, he told himself.

Henceforth, when lie thought about Martha, it would be only to think that she belonged elsewhere. He would shut down the daydreams. This was not Mount Sebastian, it was another world, where there were no pretty poems or midterm exams, a place where men died because of carelessness and gross stupidity. Kiowa was right. Boom-down, and you were dead, never partly dead.

Briefly, in the rain, Lieutenant Cross saw Martha's gray eyes gazing back at him.

He understood.

It was very sad, he thought. The things men carried inside. The things men did or felt they had to do.

He almost nodded at her, but didn't.

Instead he went back to his maps. He was now determined to perform his duties firmly and without negligence. It wouldn't help Lavender, he knew that, but from this point on he would comport himself as a soldier. He would dispose of his good-luck pebble. Swallow it, maybe, or use Lee Strunk's slingshot, or just drop it along the trail. On the march he would impose strict field discipline. He would be careful to send out flank security, to prevent straggling or bunching up, to keep his troops moving at the proper pace and at the proper interval. He would insist on clean weapons. He would confiscate the remainder of Lavender's dope. Later in the day, perhaps, he would call the men together and speak to them plainly. He would accept the blame for what had happened to Ted Lavender. He would be a man about it. He would look them in the eyes, keeping his chin level, and he would issue the new SOPs in a calm, impersonal tone of voice, an officer's voice, leaving no room for argument or discussion. Commencing immediately, he'd tell them, they would no longer abandon equipment along the route of march. They would police up their acts. They would get their shit together, and keep it together, and maintain it neatly and in good working order.

He would not tolerate laxity. He would show strength, distancing himself.

Among the men there would be grumbling, of course, and maybe worse, because their days would seem longer and their loads heavier, but Lieutenant Cross reminded himself that his obligation was not to be loved but to lead. He would dispense with love; it was not now a factor. And if anyone quarreled or complained, he would simply tighten his lips and arrange his shoulders in the correct command posture. He might give a curt little nod. Or he might not. He might just shrug and say Carry on, then they would saddle up and form into a column and move out toward the villages west of Than Khe. (1986)

R&R rest and rehabilitation leave

SOP standard operating procedure

RTO radio and telephone operator

M&M joking term for medical supplies

KIA killed in action

AOs areas of operation

Sin loi Sorry

Edward Said: Orientalism Revisited (25 years later)

Nine years ago I wrote an afterword for *Orientalism* which, in trying to clarify what I believed I had and had not said, stressed not only the many discussions that had opened up since my book appeared in 1978, but the ways in which a work about representations of "the orient" lent itself to increasing misinterpretation. That I find myself feeling more ironic than irritated about that very same thing today is a sign of how much my age has crept up on me. The recent deaths of my two main intellectual, political and personal mentors, the writers and activists Eqbal Ahmad and Ibrahim Abu-Lughod, has brought sadness and loss, as well as resignation and a certain stubborn will to go on.

In my memoir *Out of Place* (1999) I described the strange and contradictory worlds in which I grew up, providing for myself and my readers a detailed account of the settings that I think formed me in Palestine, Egypt and Lebanon. But that was a very personal account which stopped short of all the years of my own political engagement that started after the 1967 Arab-Israeli war.

Orientalism is very much a book tied to the tumultuous dynamics of contemporary history. Its first page opens with a description of the Lebanese civil war that ended in 1990, but the violence and the ugly shedding of human blood continues up to this minute. We have had the failure of the Oslo peace process, the outbreak of the second intifada, and the awful suffering of the Palestinians on the reinvaded West Bank and Gaza. The suicide bombing phenomenon has appeared with all its hideous damage, none more lurid and apocalyptic of course than the events of September 11 2001 and their aftermath in the wars against Afghanistan and Iraq. As I write these lines, the illegal occupation of Iraq by Britain and the United States proceeds. Its aftermath is truly awful to contemplate. This is all part of what is supposed to be a clash of civilisations, unending, implacable, irremediable. Nevertheless, I think not.

I wish I could say that general understanding of the Middle East, the Arabs and Islam in the US has improved, but alas, it really hasn't. For all kinds of reasons, the situation in Europe seems to be considerably better. What American leaders and their intellectual lackeys seem incapable of understanding is that history cannot be swept clean like a blackboard, so that "we" might inscribe our own future there and impose our own forms of life for these lesser people to follow. It is quite common to hear high officials in Washington and elsewhere speak of changing the map of the Middle East, as if ancient societies and myriad peoples can be shaken up like so many peanuts in a jar. But this has often happened with the "orient", that semi-mythical construct which since Napoleon's invasion of Egypt in the late 18th century has been made and remade countless times. In the process the uncountable sediments of history, a dizzying variety of peoples, languages, experiences, and cultures, are swept aside or ignored, relegated to the sandheap along with the treasures ground into meaningless fragments that were taken out of Baghdad.

My argument is that history is made by men and women, just as it can also be unmade and rewritten, so that "our" east, "our" orient becomes "ours" to possess and direct. And I

have a very high regard for the powers and gifts of the peoples of that region to struggle on for their vision of what they are and want to be. There has been so massive and calculatedly aggressive an attack on contemporary Arab and Muslim societies for their backwardness, lack of democracy, and abrogation of women's rights that we simply forget that such notions as modernity, enlightenment, and democracy are by no means simple and agreed-upon concepts that one either does or does not find like Easter eggs in the living-room. The breathtaking insouciance of jejune publicists who speak in the name of foreign policy and who have no knowledge at all of the language real people actually speak, has fabricated an arid landscape ready for American power to construct there an ersatz model of free market "democracy".

But there is a difference between knowledge of other peoples and other times that is the result of understanding, compassion, careful study and analysis for their own sakes, and on the other hand knowledge that is part of an overall campaign of self-affirmation. It is surely one of the intellectual catastrophes of history that an imperialist war concocted by a small group of unelected US officials was waged against a devastated third world dictatorship on thoroughly ideological grounds having to do with world dominance, security control and scarce resources, but disguised for its true intent, hastened and reasoned for by orientalists who betrayed their calling as scholars.

The major influences on George W Bush's Pentagon and National Security Council were men such as Bernard Lewis and Fouad Ajami, experts on the Arab and Islamic world who helped the American hawks to think about such preposterous phenomena as the Arab mind and the centuries-old Islamic decline which only American power could reverse. Today bookstores in the US are filled with shabby screeds bearing screaming headlines about Islam and terror, the Arab threat and the Muslim menace, all of them written by political polemicists pretending to knowledge imparted by experts who have supposedly penetrated to the heart of these strange oriental peoples. CNN and Fox, plus myriad evangelical and rightwing radio hosts, innumerable tabloids and even middle-brow journals, have recycled the same unverifiable fictions and vast generalisations so as to stir up "America" against the foreign devil.

Without a well-organised sense that the people over there were not like "us" and didn't appreciate "our" values - the very core of traditional orientalist dogma - there would have been no war. The American advisers to the Pentagon and the White House use the same clichés, the same demeaning stereotypes, the same justifications for power and violence (after all, runs the chorus, power is the only language they understand) as the scholars enlisted by the Dutch conquerors of Malaysia and Indonesia, the British armies of India, Mesopotamia, Egypt, West Africa, the French armies of Indochina and North Africa. These people have now been joined in Iraq by a whole army of private contractors and eager entrepreneurs to whom shall be confided everything from the writing of textbooks and the constitution to the refashioning of Iraqi political life and its oil industry.

Every single empire in its official discourse has said that it is not like all the others, that its circumstances are special, that it has a mission to enlighten, civilise, bring order and

democracy, and that it uses force only as a last resort. And, sadder still, there always is a chorus of willing intellectuals to say calming words about benign or altruistic empires.

Twenty-five years after my book's publication, Orientalism once again raises the question of whether modern imperialism ever ended, or whether it has continued in the orient since Napoleon's entry into Egypt two centuries ago. Arabs and Muslims have been told that victimology and dwelling on the depredations of empire are only ways of evading responsibility in the present. You have failed, you have gone wrong, says the modern orientalist. This of course is also VS Naipaul's contribution to literature, that the victims of empire wail on while their country goes to the dogs. But what a shallow calculation of the imperial intrusion that is, how little it wishes to face the long succession of years through which empire continues to work its way in the lives say of Palestinians or Congolese or Algerians or Iraqis.

Think of the line that starts with Napoleon, continues with the rise of oriental studies and the takeover of North Africa, and goes on in similar undertakings in Vietnam, in Egypt, in Palestine and, during the entire 20th century, in the struggle over oil and strategic control in the Gulf, in Iraq, Syria, Palestine, and Afghanistan. Then think of the rise of anti-colonial nationalism, through the short period of liberal independence, the era of military coups, of insurgency, civil war, religious fanaticism, irrational struggle and uncompromising brutality against the latest bunch of "natives". Each of these phases and eras produces its own distorted knowledge of the other, each its own reductive images, its own disputatious polemics.

My idea in Orientalism was to use humanistic critique to open up the fields of struggle, to introduce a longer sequence of thought and analysis to replace the short bursts of polemical, thought-stopping fury that so imprison us. I have called what I try to do "humanism", a word I continue to use stubbornly despite the scornful dismissal of the term by sophisticated postmodern critics. By humanism I mean first of all attempting to dissolve Blake's "mind-forg'd manacles" so as to be able to use one's mind historically and rationally for the purposes of reflective understanding. Moreover humanism is sustained by a sense of community with other interpreters and other societies and periods: strictly speaking therefore, there is no such thing as an isolated humanist.

Thus it is correct to say that every domain is linked, and that nothing that goes on in our world has ever been isolated and pure of any outside influence. We need to speak about issues of injustice and suffering within a context that is amply situated in history, culture, and socio-economic reality. I have spent a great deal of my life during the past 35 years advocating the right of the Palestinian people to national self-determination, but I have always tried to do that with full attention paid to the reality of the Jewish people and what they suffered by way of persecution and genocide. The paramount thing is that the struggle for equality in Palestine/Israel should be directed toward a humane goal, that is, coexistence, and not further suppression and denial.

As a humanist whose field is literature, I am old enough to have been trained 40 years ago in the field of comparative literature, whose leading ideas go back to Germany in the

late 18th and early 19th centuries. I must mention too the supremely creative contribution of Giambattista Vico, the Neapolitan philosopher and philologist whose ideas anticipate those of German thinkers such as Herder and Wolf, later to be followed by Goethe, Humboldt, Dilthey, Nietzsche, Gadamer, and finally the great 20th-century Romance philologists Erich Auerbach, Leo Spitzer, and Ernst Robert Curtius.

To young people of the current generation the very idea of philology suggests something impossibly antiquarian and musty, but philology in fact is the most basic and creative of the interpretive arts. It is exemplified for me most admirably in Goethe's interest in Islam generally, and the 14th-century Persian Sufi poet Hafiz in particular, a consuming passion which led to the composition of the *West-östlicher Diwan*, and it inflected Goethe's later ideas about *Weltliteratur*, the study of all the literatures of the world as a symphonic whole which could be apprehended theoretically as having preserved the individuality of each work without losing sight of the whole.

There is a considerable irony to the realisation that as today's globalised world draws together, we may be approaching the kind of standardisation and homogeneity that Goethe's ideas were specifically formulated to prevent. In an essay published in 1951 entitled "Philologie der Weltliteratur", Auerbach made exactly that point. His great book *Mimesis*, published in Berne in 1946 but written while Auerbach was a wartime exile teaching Romance languages in Istanbul, was meant to be a testament to the diversity and concreteness of the reality represented in western literature from Homer to Virginia Woolf; but reading the 1951 essay one senses that, for Auerbach, the great book he wrote was an elegy for a period when people could interpret texts philologically, concretely, sensitively, and intuitively, using erudition and an excellent command of several languages to support the kind of understanding that Goethe advocated for his understanding of Islamic literature.

Positive knowledge of languages and history was necessary, but it was never enough, any more than the mechanical gathering of facts would constitute an adequate method for grasping what an author like Dante, for example, was all about. The main requirement for the kind of philological understanding Auerbach and his predecessors were talking about and tried to practise was one that sympathetically and subjectively entered into the life of a written text as seen from the perspective of its time and its author. Rather than alienation and hostility to another time and a different culture, philology as applied to *Weltliteratur* involved a profound humanistic spirit deployed with generosity and, if I may use the word, hospitality. Thus the interpreter's mind actively makes a place in it for a foreign "other". And this creative making of a place for works that are otherwise alien and distant is the most important facet of the interpreter's mission.

All this was obviously undermined and destroyed in Germany by national socialism. After the war, Auerbach notes mournfully, the standardisation of ideas, and greater and greater specialisation of knowledge gradually narrowed the opportunities for the kind of investigative and everlastingly inquiring kind of philological work that he had represented; and, alas, it's an even more depressing fact that since Auerbach's death in 1957 both the idea and practice of humanistic research have shrunk in scope as well as in

centrality. Instead of reading in the real sense of the word, our students today are often distracted by the fragmented knowledge available on the internet and in the mass media.

Worse yet, education is threatened by nationalist and religious orthodoxies often disseminated by the media as they focus ahistorically and sensationalistically on the distant electronic wars that give viewers the sense of surgical precision, but in fact obscure the terrible suffering and destruction produced by modern warfare. In the demonisation of an unknown enemy for whom the label "terrorist" serves the general purpose of keeping people stirred up and angry, media images command too much attention and can be exploited at times of crisis and insecurity of the kind that the post-September 11 period has produced.

Speaking both as an American and as an Arab I must ask my reader not to underestimate the kind of simplified view of the world that a relative handful of Pentagon civilian elites have formulated for US policy in the entire Arab and Islamic worlds, a view in which terror, pre-emptive war, and unilateral regime change - backed up by the most bloated military budget in history - are the main ideas debated endlessly and impoverishingly by a media that assigns itself the role of producing so-called "experts" who validate the government's general line. Reflection, debate, rational argument and moral principle based on a secular notion that human beings must create their own history have been replaced by abstract ideas that celebrate American or western exceptionalism, denigrate the relevance of context, and regard other cultures with contempt.

Perhaps you will say that I am making too many abrupt transitions between humanistic interpretation on the one hand and foreign policy on the other, and that a modern technological society which along with unprecedented power possesses the internet and F-16 fighter-jets must in the end be commanded by formidable technical-policy experts like Donald Rumsfeld and Richard Perle. But what has really been lost is a sense of the density and interdependence of human life, which can neither be reduced to a formula nor brushed aside as irrelevant.

That is one side of the global debate. In the Arab and Muslim countries the situation is scarcely better. As Roula Khalaf has argued, the region has slipped into an easy anti-Americanism that shows little understanding of what the US is really like as a society. Because the governments are relatively powerless to affect US policy toward them, they turn their energies to repressing and keeping down their own populations, with results in resentment, anger and helpless imprecations that do nothing to open up societies where secular ideas about human history and development have been overtaken by failure and frustration, as well as by an Islamism built out of rote learning and the obliteration of what are perceived to be other, competitive forms of secular knowledge. The gradual disappearance of the extraordinary tradition of Islamic ijihad - the process of working out Islamic rules with reference to the Koran - has been one of the major cultural disasters of our time, with the result that critical thinking and individual wrestling with the problems of the modern world have simply dropped out of sight.

This is not to say that the cultural world has simply regressed on one side to a belligerent neo-orientalism and on the other to blanket rejectionism. Last year's United Nations world summit in Johannesburg, for all its limitations, did in fact reveal a vast area of common global concern that suggests the welcome emergence of a new collective constituency and gives the often facile notion of "one world" a new urgency. In all this, however, we must admit that no one can possibly know the extraordinarily complex unity of our globalised world.

The terrible conflicts that herd people under falsely unifying rubrics such as "America," "the west" or "Islam" and invent collective identities for large numbers of individuals who are actually quite diverse, cannot remain as potent as they are, and must be opposed. We still have at our disposal the rational interpretive skills that are the legacy of humanistic education, not as a sentimental piety enjoining us to return to traditional values or the classics but as the active practice of worldly secular rational discourse. The secular world is the world of history as made by human beings. Critical thought does not submit to commands to join in the ranks marching against one or another approved enemy. Rather than the manufactured clash of civilisations, we need to concentrate on the slow working together of cultures that overlap, borrow from each other, and live together. But for that kind of wider perception we need time, patient and sceptical inquiry, supported by faith in communities of interpretation that are difficult to sustain in a world demanding instant action and reaction.

Humanism is centred upon the agency of human individuality and subjective intuition, rather than on received ideas and authority. Texts have to be read as texts that were produced and live on in all sorts of what I have called worldly ways. But this by no means excludes power, since on the contrary I have tried to show the insinuations, the imbrications of power into even the most recondite of studies. And lastly, most important, humanism is the only, and I would go as far as to say the final resistance we have against the inhuman practices and injustices that disfigure human history.